

1 **Mathematical model to analyze the dissolution behavior of metastable**  
2 **crystals or amorphous drug accompanied with a solid-liquid interface**  
3 **reaction**

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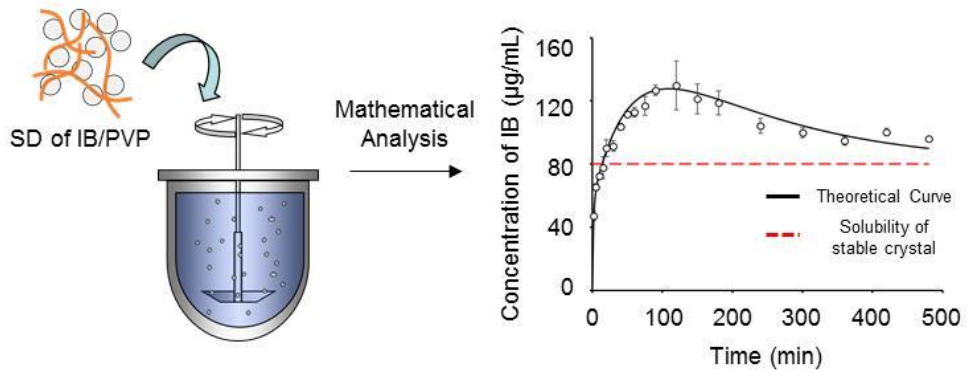
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## 14 **ABSTRACT**

15 Metastable crystals and the amorphous state of poorly water-soluble drugs in solid  
16 dispersions (SDs), are subject to a solid-liquid interface reaction upon exposure to a solvent.  
17 The dissolution behavior during the solid-liquid interface reaction often shows that the  
18 concentration of drugs is supersaturated, with a high initial drug concentration compared with  
19 the solubility of stable crystals but finally approaching the latter solubility with time.  
20 However, a method for measuring the precipitation rate of stable crystals and/or the potential  
21 solubility of metastable crystals or amorphous drugs has not been established. In this study, a  
22 novel mathematical model that can represent the dissolution behavior of the solid-liquid  
23 interface reaction for metastable crystals or amorphous drug was developed and its validity  
24 was evaluated. The theory for this model was based on the Noyes-Whitney equation and  
25 assumes that the precipitation of stable crystals at the solid-liquid interface occurs through a  
26 first-order reaction. Moreover, two models were developed, one assuming that the surface  
27 area of the drug remains constant because of the presence of excess drug in the bulk and the  
28 other that the surface area changes in time-dependency because of agglomeration of the drug.  
29 SDs of Ibuprofen (IB) / polyvinylpyrrolidone (PVP) were prepared and their dissolution  
30 behaviors under non-sink conditions were fitted by the models to evaluate improvements in  
31 solubility. The model assuming time-dependent surface area showed good agreement with  
32 experimental values. Furthermore, by applying the model to the dissolution profile,  
33 parameters such as the precipitation rate and the potential solubility of the amorphous drug  
34 were successfully calculated. In addition, it was shown that the improvement in solubility  
35 with supersaturation was able to be evaluated quantitatively using this model. Therefore, this  
36 mathematical model would be a useful tool to quantitatively determine the supersaturation  
37 concentration of a metastable drug from solid dispersions.

38    **KEYWORDS:** Solid dispersions, solid-liquid interfaces, supersaturation, mathematical model,  
39    dissolution, agglomeration, ibuprofen, polyvinylpyrrolidone.

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## 42 **1. Introduction**

43           In past few decades, most pharmaceutical products and candidates have had poor  
44 water solubility (Kawabata et al., 2011), and various techniques have been used to solve this  
45 disadvantage, including formulation as polymorphs (Paaver et al., 2012), amorphous (Nielsen  
46 et al., 2015), co-crystals (Sanphui et al., 2015), nanosuspensions (Douroumis and Fahr, 2006),  
47 and lipid nanoparticles (Makwana et al., 2015). Of these, the solid dispersion (SD), which can  
48 maintain the metastable crystal and amorphous state of compounds through specific  
49 interactions with polymers (Mishra et al., 2015; Dukeck et al., 2013), is widely applied to  
50 various drugs to improve solubility and subsequently enhance oral absorption. In general,  
51 solubilized drugs are in a supersaturated state, with a high drug concentration compared with  
52 the solubility of stable crystals and the dissolution behavior of the drug in the supersaturated  
53 state tends to achieve a stable plateau with the precipitation of stable crystals (Wlodarski et  
54 al., 2015; Knopp et al., 2016; Sarode et al., 2013). The rate of precipitation to form stable  
55 crystals and/or the degree of maintenance in the supersaturation state depend on the  
56 interactions between the drugs and the polymers (Sarode et al., 2014; Jackson et al., 2016;  
57 Ozaki et al., 2013; Mah et al., 2016). Therefore, quantitative evaluation of these interactions  
58 would enable the development of formulations with improved solubility. However, it is  
59 extremely difficult to directly measure and determine the potential solubility of metastable  
60 crystals and amorphous forms during dissolution studies.

61           One approach to determining the dissolution behavior of a drug is through the use  
62 of a mathematical model that can describe physical phenomena such as dissolution processes.  
63 Such a mathematical model has the advantage of reducing the number of experiments  
64 required to determine the mechanism of drug dissolution from a formulation. The “Noyes-  
65 Whitney” dissolution rate equation is a formula that describes the dissolution behavior of a  
66 solid preparation (Noyes and Whitney, 1897). In addition, the simulation of the dissolution

67 process and the prediction of oral absorption using mathematical models have also been  
68 attempted and is a useful tool for understanding mechanisms derived from internal changes in  
69 the drug (Sugano, 2011; Jakubiak et al., 2016; Chen et al., 2016; Tsume et al., 2015).

70           Recently, various models have been applied to the dissolution process of  
71 metastable crystals and amorphous drug at the solid-liquid interface. Laaksonen and Aaltonen  
72 attempted to develop a model that would express the change in drug crystal transition from  
73 the surface of a crystal in metastasis under the sink condition (Laaksonen and Aaltonen,  
74 2013); however, use of the sink condition meant they were unable to analyze the dissolution  
75 profile of a supersaturated drug. Conversely, Sun and Lee modeled dissolution from the  
76 amorphous drug to a supersaturated state under a non-sink condition, and successfully  
77 determined the rate constant for reaching supersaturation, and the precipitation rate of stable  
78 crystals (Sun and Lee, 2013). However, the system of equations involved is too complex and  
79 predicted values over-estimated the time required for precipitation compared with  
80 experimental measurements. In addition, Gao described an integrated model of dissolution  
81 kinetics for a solute whose concentration at the solid-liquid interface changes with time,  
82 based on the Noyes-Whitney Equation (Gao, 2012). In Gao's model, it was assumed that the  
83 solvation or precipitation reaction could be approximated by a first-order reaction occurring  
84 at the solid-liquid interface, with the concentration gradient in the diffusion layer changing  
85 with time and the diffusional flux following Fick's law (i.e. proportional to the concentration  
86 gradient). It was also assumed that the surface area of the solute did not change so that the  
87 rate constant was treated as time-independent. As a result, the model suggested potential for  
88 the analysis of parameters related to the dissolution of the drug that trigger interfacial  
89 reactions such as the rate of precipitation of stable crystals. However, even in this model, it is  
90 difficult to analyze the potential solubility of a metastable crystal or amorphous form before  
91 precipitation of stable crystals and to quantitatively evaluate supersaturation. Because the

92 concentration of a supersaturated drug is maintained at a high level for long periods, the  
93 blood concentration of the drug and the area under the concentration-time curve (AUC) might  
94 also become high (Childs et al., 2013; Knopp et al., 2016; Zhang et al., 2016). Therefore,  
95 comprehensive and quantitative evaluation of supersaturation can be useful *in vitro* for the  
96 predicting improvements in drug concentrations *in vivo*.

97           In this study, we focused on the diffusion process at the solid-liquid interface and  
98 attempted to derive and evaluate a novel macroscopic mathematical model that describes the  
99 dissolution process of a drug with an interface reaction such as between metastable crystals  
100 and the amorphous drug under non-sink conditions. To measure the dissolution profile of an  
101 amorphous drug, a SD of Ibuprofen (IB) and polyvinylpyrrolidone (PVP), which is a  
102 combination often used for solubilization studies, was prepared by the solvent method (Najib  
103 at al., 1986; Rami-Abraham et al., 2015). We successfully developed two models with a  
104 constant surface area by adding excess drug and with a surface area that changed with time,  
105 and tried to evaluate their respective dissolution kinetics without using a rotating disk. In  
106 addition, using this model, the potential solubility of amorphous drugs in SD was  
107 quantitatively estimated and related to the preservation of supersaturation.

108

## 109 2. Theoretical basis

### 110 2.1. The case in which surface area is constant

111 The Noyes-Whitney equation is generally known to describe the diffusion process  
112 of a drug with a diffusion-controlling dissolution profile (Sarode et al., 2013). The dissolution  
113 model under this condition is shown in **Figure 1A** and the equation used to describe the  
114 dissolution process is given by

$$115 \quad \frac{dC_b}{dt} = \frac{kS}{V}(C_s - C_b), \quad (1)$$

116 where  $C_b$  represents the concentration of a drug in the system as a function of time  $t$ ,  $S$  is the  
117 surface area of the drug,  $V$  is the volume of the medium, and  $C_s$  is the solubility of the drug.  
118 Furthermore,  $k$  represents the dissolution rate constant, defined as  $k = D/h$ , if  $D$  is the  
119 diffusion coefficient and  $h$  is the thickness of the diffusion layer. Solving Eq. (1) for  $C_b$ , gives

$$120 \quad C_b = C_s \left\{ 1 - \exp\left(-\frac{kS}{V}t\right) \right\}. \quad (2)$$

121 Conversely, the dissolution model for a drug with a solid-liquid interface reaction  
122 is shown in **Figure 1B**. Here,  $C_M$  denotes the solubility of metastable crystals or amorphous  
123 drug and  $C_S$  is the solubility of stable crystals. In this study, the change in concentration of  
124 the drug at the solid-liquid interface was modeled on the basis of the theory described by Gao  
125 (Gao, 2012), in which it is assumed that the concentration of the drug at the interface changes  
126 with time and can be approximated using a first-order reaction. The concentration of the drug  
127 at the solid-liquid interface is regarded as a function of time,  $C_{SL}(t)$ . At  $t = 0$ , the  
128 concentration of the drug at the solid-liquid interface equals the solubility of metastable  
129 crystals or amorphous drug, so

$$130 \quad C_{SL}(0) = C_M. \quad (3)$$

131 However, the concentration of the drug at the solid-liquid interface gradually  
132 decreases because stable crystals precipitate with time through exposure to the medium.



133 Finally, the concentration reaches the concentration of stable crystals and the dissolution  
 134 process terminates. If the crystal precipitation reaction follow a first-order proportionality and  
 135 the crystal precipitation rate is defined as  $k_C$ ,  $C_{SL}(t)$  is assumed as follows,

$$136 \quad \frac{dC_{SL}(t)}{dt} = k_C(C_S - C_{SL}(t)). \quad (4)$$

137 This equation can be solved to give

$$138 \quad C_{SL}(t) = C_S - (C_M - C_S)\exp(-k_C t). \quad (5)$$

139 Furthermore, if it is assumed that the change with time in drug concentration is  
 140 proportional to the concentration gradient across the diffusion layer, the dissolution rate  
 141 equation with a solid-liquid interface reaction is given by

$$142 \quad \frac{dC_b}{dt} = \frac{kS}{V}(C_{SL}(t) - C_b), \quad (6)$$

143 where the surface area of the drug varies during dissolution in the solution, so  $S$  is not  
 144 regarded to be constant but is represented by an arbitrary function of time,  $\sigma(t)$ . Therefore, Eq.  
 145 (6) can be expressed as

$$146 \quad \frac{dC_b}{dt} = \frac{k\sigma(t)}{V}(C_{SL}(t) - C_b). \quad (7)$$

147 In the case of drug particles that are metastable crystals or amorphous,  $\sigma(t)$   
 148 decreases with dissolution of the drug but increases with the precipitation of stable crystals.  
 149 However, when a drug is studied using a rotating disk or is in excess, changes in surface area  
 150 caused by dissolution can be regarded as very small and constant, and therefore neglected  
 151 (Dokoumetzidis and Macheras, 2006; Tsinman et al., 2009). In this case, the term  $kS/V$  in  
 152 Eq. (6) is constant and Eq. (6) is transformed to

$$153 \quad \frac{dC_b}{dt} = k_D(C_{SL}(t) - C_b), \quad (8)$$

154 where,  $k_D$  is a constant that satisfies  $k_D = kS/V$  and is defined as the dissolution rate constant.

155 Eq. (5) is incorporated into Eq. (8) to give Eq. (9) as follows:

$$156 \quad \frac{dC_b}{dt} = k_D \{C_s - (C_M - C_s) \exp(-k_C t) - C_b\}. \quad (9)$$

157 Solving Eq. (9) for  $C_b$  give the following relation:

$$158 \quad C_b = C_s \{1 - \exp(-k_D t)\} + \frac{k_D (C_M - C_s)}{k_D - k_C} \{\exp(-k_C t) - \exp(-k_D t)\} \quad (10)$$

159 In Eqs. (2) and (10), when  $C_b$  is plotted versus  $t$  as shown in **Figure 2**,  $C_{max}$  is the

160 maximum drug concentration and  $T_{max}$  is the time needed to reach  $C_{max}$ . The area  $Y$

161 surrounded by the curves from Eq. (2) (line A) and Eq. (10) (line B) expresses the increment

162 in the dissolution with supersaturation and  $Y$  is thought to represent an index for the

163 comprehensive evaluation of supersaturation. This parameter can be calculated by

164 rearranging the model equations. The increment of  $Y$  in dissolution is thus given by

$$165 \quad Y = \int_0^{\infty} \left[ C_s \{1 - \exp(-k_D t)\} + \frac{k_D (C_M - C_s)}{k_D - k_C} \{\exp(-k_C t) - \exp(-k_D t)\} - C_s \left\{1 - \exp\left(-\frac{kS}{V} t\right)\right\} \right] dt,$$

166 (11)

167 Taking  $k_D = kS/V$  into consideration, solving Eq. (11) gives

$$168 \quad Y = \frac{C_M - C_s}{k_C}. \quad (12)$$

169 When the concentration of the drug reaches the maximum,  $dC_b/dt = 0$ . Calculating  $dC_b/dt$

170 gives

$$171 \quad \frac{dC_b}{dt} = k_D C_s \exp(-k_D t) + \frac{k_D (C_M - C_s)}{k_D - k_C} \{-k_C \exp(-k_C t) + k_D \exp(-k_D t)\}. \quad (13)$$

172 If the right hand side of Eq. (13) equals 0, the solution for  $t$  gives  $T_{max}$ , given by

$$173 \quad T_{max} = \frac{1}{k_D - k_C} \ln \left\{ \frac{k_D C_s - k_C C_M}{k_C (C_M - C_s)} \right\}. \quad (14)$$

174 Furthermore,  $C_{\max}$  can be estimated by substituting  $T_{\max}$  into Eq. (10). We define this model  
 175 as the Constant Surface area dissolution model (CS model).

176

## 177 2.2. The case in which surface area is not constant but changes with time

178 In the case of dissolution from a SD, the dissolution process may be accompanied  
 179 by agglomeration between particles caused by the presence of polymers (Paudel et al., 2012;  
 180 Adebisi et al., 2016). Therefore, it is not possible to entirely neglect the change in surface  
 181 area caused by dissolution, even if an excess number of samples is added. We therefore  
 182 developed a model that assumed part of dissolution rate constant  $k_D$  was variable.

183 We assume that at time  $t = 0$ , drugs do not form aggregates, that a final equilibrium  
 184 exists between agglomerated and non-agglomerated drug, and that the surface area of the  
 185 drug becomes constant. The initial surface area during dissolution is defined as  $S_0$  and the  
 186 final decreased and converged surface area is defined as  $S_{\text{eq}}$ . If the diminishing rate of surface  
 187 area change is directly proportional to the surface area, which decreases as  $\sigma(t) - S_{\text{eq}}$ , the  
 188 equation for the variable surface area  $\sigma(t)$  is obtained as follows:

$$189 \quad \frac{d\sigma(t)}{dt} = -k_E (\sigma(t) - S_{\text{eq}}), \quad (15)$$

190 where  $k_E$  represents the rate of decrease in the surface area of the drug. Solving Eq. (15) for  
 191  $\sigma(t)$  gives

$$192 \quad \sigma(t) = S_{\text{eq}} - (S_0 - S_{\text{eq}}) \exp(-k_E t). \quad (16)$$

193 Substituting Eq. (16) into Eq. (7) gives

$$194 \quad \frac{dC_b}{dt} = \frac{k \{ S_{\text{eq}} - (S_0 - S_{\text{eq}}) \exp(-k_E t) \}}{V} (C_{\text{SL}}(t) - C_b). \quad (17)$$

195 Converting the coefficients,  $kS_{\text{eq}}/V = k_{\text{eq}}$ ,  $kS_0/V = k_{\text{D0}}$  gives

$$196 \quad \frac{dC_b}{dt} = \{ k_{\text{eq}} - (k_{\text{D0}} - k_{\text{eq}}) \exp(-k_E t) \} (C_{\text{SL}}(t) - C_b), \quad (18)$$

197 where,  $k_{eq}$  is the dissolution rate constant when agglomeration reaches equilibrium and  $k_{D0}$  is  
 198 the initial dissolution rate constant where aggregates do not exist. Incorporating Eq. (5) into  
 199 Eq. (18) gives

$$200 \quad \frac{dC_b}{dt} = \{k_{eq} - (k_{D0} - k_{eq})\exp(-k_E t)\} \{C_S - (C_M - C_S)\exp(-k_C t) - C_b\}. \quad (19)$$

201 The equation describing the bulk concentration of the drug can be obtained by solving Eq.  
 202 (19); however, it is impossible to solve this equation analytically. Therefore, in this study, a  
 203 numerical method was applied to calculate  $C_b$ . We define this model as the Time-dependent  
 204 Variable Surface area dissolution model (TVS model).

205

### 206 2.3. Calculation of the dissolution rate

207 The dissolution rate constant in the CS model,  $k_D$ , can be calculated by a regression in  
 208 Eq. (2) from the dissolution profile of stable crystals. In Eq. (1), assuming that the surface  
 209 area of the drug is constant and  $kS/V = k_D$  gives

$$210 \quad \frac{dC_b}{dt} = k_D (C_S - C_b). \quad (20)$$

211 By solving Eq. (20) for  $C_b$ , the following equation is obtained:

$$212 \quad C_b = C_S \{1 - \exp(-k_D t)\} \quad (21)$$

213 On the other hand, the initial dissolution rate constant in the TVS model,  $k_{D0}$ , can  
 214 be calculated by a regression in the modified Noyes-Whitney Equation whose dissolution rate  
 215 constant changes with time. Accordingly, it is assumed that  $k_D$  in Eq. (20) changes  
 216 exponentially with time. If the constant describing the rate of decrease in the dissolution rate  
 217 constant of stable crystals is  $k_{Dr}$  and the determinative dissolution rate constant of stable  
 218 crystals is  $k_{Df}$ , Eq. (20) can be written as:

$$219 \quad \frac{dC_b}{dt} = \{k_{Df} - (k_{D0} - k_{Df})\exp(-k_{Dr} t)\} (C_S - C_b) \quad (22)$$

220 Solving Eq. (22) gives the bulk concentration  $C_b$  as follows:

$$221 \quad C_b = C_s \left[ 1 - \exp \left\{ \frac{(k_{Df} - k_{D0})(1 - \exp(-k_{Dr}t))}{k_{Dr}} - k_{Df}t \right\} \right] \quad (23)$$

222 By applying the non-linear least-squares method to Eq. (23) from the dissolution profile of  
223 stable crystals, the initial dissolution rate constant of stable crystals  $k_{D0}$  can be obtained.

224

225

## 226 **3. Materials and Methods**

### 227 *3.1. Materials*

228 IB (Ibuprofen 25) and PVP (Kollidon<sup>®</sup> 25) was supplied by BASF Co., Ltd.  
229 (Tokyo, Japan).

230

### 231 *3.2. Preparation of SD*

232 SDs of IB/PVP were prepared using the solvent method. IB and PVP were mixed  
233 at different mass ratios (1:3, 1:5) and dissolved in ethanol. The solvent was evaporated and  
234 dried under reduced pressure overnight at room temperature. The dried product was ground  
235 using a mortar and pestle to prepare SD powders.

236

### 237 *3.3. Powder X-ray Diffractometry (PXRD)*

238 The solid properties of stable crystals of IB, PVP, SDs and the physical mixtures  
239 (PMs) were analyzed by PXRD using a Mini Flex II (Rigaku Corp., Tokyo, Japan) with Cu  
240 K $\alpha$  radiation, operated at an output voltage of 40 kV and an output current of 30 mA. The  
241 diffraction patterns with a  $2\theta$  range of 5–45° were recorded at a scanning rate of 5°/min.

242

### 243 *3.4. Determination of the solubility of stable crystals, C<sub>s</sub>*

244 Two hundred milligrams of stable IB crystals were added into 50 mL of water and  
245 shaken at 37°C for 48 h. The supernatant was withdrawn and filtered through a 0.20 $\mu$ m  
246 membrane filter (Toyo Roshi Kaisha, Ltd., Tokyo, Japan) to remove the crystals. Each  
247 sample (50  $\mu$ L) was diluted with 350  $\mu$ L of a methanol:water 1:1 mixture, and the drug  
248 concentration of IB released into the medium was then quantitatively determined by reversed  
249 phase HPLC, LC-2010HC (Shimadzu, Kyoto, Japan) with a UV detector at 264 nm. The  
250 reversed phase HPLC column (Cadenza CD-18, 4.6 mm x150 mm, Imtakt, Japan) was

251 maintained at 40°C. The injection volume was 10 µL. The mobile phase consisted of 0.2%  
252 v/v formic acid in water and methanol (40:60, v/v) at a flow rate of 0.4 mL/min. The  
253 concentration of IB was quantified using the HPLC and the solubility was calculated from  
254 this concentration.

255

256 *3.5. Determination of the dissolution rate constant,  $k_D$  and the initial dissolution rate*  
257 *constant,  $k_{D0}$*

258 The dissolution rate constant  $k_D$  and the initial dissolution rate constant  $k_{D0}$  were  
259 calculated from the dissolution behavior of stable IB crystals, using the paddle method listed  
260 in the Japanese Pharmacopoeia Seventeenth Edition (JP 17). The test solution was 900 or 500  
261 mL of water at  $37.0 \pm 0.5^\circ\text{C}$  and the paddle rotation speed was 100 rpm. IB (500 mg) from  
262 each batch was placed into the test solutions and 5 mL of the solutions were withdrawn and  
263 replaced with an equal volume of water. These samples were filtered, diluted and quantitated  
264 as described in 3.4. Each rate constant was calculated by fitting the set of experimentally  
265 determined values to Eqs. (21) and (23), respectively.

266

267 *3.6. Drug dissolution test of SDs*

268 The dissolution behavior of the SDs was obtained by the dissolution test described  
269 in 3.5 using SDs as 500 mg of IB.

270

271 *3.7. Curve fitting and analysis of dissolution test*

272 The model equations were fitted to the results of dissolution tests by a nonlinear  
273 least-squares method using the statistics software package Origin<sup>®</sup> 9.1 (Originlab Corp.,  
274 Northampton, MA) to calculate the parameters related to dissolution and their standard errors.  
275 Because this model was not able to be solved analytically, the model equations were solved

276 numerically. Explicit Runge-Kutta (4, 5) methods were used in numerical solutions and the  
 277 Levenberg-Marquardt algorithm was used for nonlinear fitting. The simulation of estimated  
 278 dissolution profiles and the calculation of the parameters,  $Y$ ,  $C_{\max}$  and  $T_{\max}$  was carried out  
 279 with a mesh size of  $\Delta t = 0.1$  using the mathematical software Maple 2015 (Maplesoft,  
 280 Waterloo, Canada).

281

282 *3.8. Comparison of consistency between experimental data and curve obtained by*  
 283 *mathematical models*

284 Akaike's information criterion (AIC) (Neau et al., 1999), root mean square error  
 285 (RMSE) and coefficient of determination ( $R^2$ ) was used as a measure of goodness of fit of the  
 286 experimental data to Eqs. (10) or (19). AIC and RMSE are respectively given by

287 
$$AIC = N \ln(\text{RSS}) + 2P, \quad (24)$$

288 
$$\text{RMSE} = \sqrt{\frac{1}{N} \sum_{i=1}^N (y_i - \hat{y}_i)^2}, \quad (25)$$

289 
$$R^2 = 1 - \frac{\sum_{i=1}^N (y_i - \hat{y}_i)^2}{\sum_{i=1}^N (y_i - \bar{y}_i)^2}, \quad (26)$$

290 where  $N$  is the number of experimental data points, RSS is the residual sum of squares,  $P$  is  
 291 the number of parameters,  $y_i$  is the measured value,  $\hat{y}_i$  is the estimated value and  $\bar{y}_i$  is the  
 292 mean of measured values.



## 293 4. Results and discussion

### 294 4.1. Results of PXRD

295 **Figure 3** shows the PXRD patterns for each sample; IB/PVP (1/5) SD (A), IB/PVP  
296 (1/5) physical mixture (PM) (B), IB/PVP (1/3) SD (C), IB/PVP (1/3) PM (D), PVP (E), and  
297 IB (F). Neither of the SD samples (A) and (C) showed diffraction peaks (a halo pattern),  
298 while diffraction peaks derived from IB were observed in both physical mixtures (B) and (D).  
299 Therefore, IB existed as an amorphous drug when preparing SDs, using PVP as a carrier.

300

### 301 4.2. Dissolution profiles of IB and estimation of dissolution rate

302 The solubility of a stable IB crystal in water at 37°C was found to be 80.0±6.5  
303 µg/mL. **Figure 4** shows the dissolution profile for IB in 500 and 900 mL solvent volumes,  
304 respectively. IB concentration increased faster in 500 mL than that in 900 mL, suggesting that  
305 the drug promptly diffused through a small volume of the solvent, and this is consistent with  
306 the theory provided in the Noyes-Whitney Equation. In addition, theoretical values given by  
307 Eq. (23) fitted well with experimental values, unlike those given by Eq. (21). Overall, this  
308 suggests that the TVS model could better explain the dissolution behavior of stable crystals  
309 than the CS model when excess drug was added and the surface area of the drug was  
310 regarded as constant. **Table 1** shows the dissolution rate constant  $k_D$  and the initial  
311 dissolution rate constant  $k_{D0}$  of stable IB crystals that were calculated based on these results.  
312 A difference in dissolution rate constant was observed and the initial dissolution rate constant  
313 in the medium volume of 500 mL was approximately 1.7 times higher than that in 900 mL.  
314 From Eq. (20), the dissolution rate constant is calculated from the relationship between  
315 concentration and time. Since the concentration depends on the volume of the dissolution  
316 medium, it is conceivable that the dissolution rate based on the concentration varies  
317 depending on the medium volume. Therefore, when the dissolution rate constant is calculated

318 based on the dissolved mass, the rate constant based on dissolved mass can be calculated by  
319  $k_D$  multiplied by the medium volume  $V$  from  $k_D = kS/V$ . When comparing with these values,  
320 they were  $64.0 \pm 2.5$  mL/min at  $V = 500$  mL and  $54.9 \pm 4.5$  mL/min at  $V = 900$  mL, which  
321 were almost similar. The dissolution rate constant is a constant depending on the diffusion  
322 coefficient, the thickness of the diffusion layer, and the surface area of drug, and it is  
323 considered that equivalent values were shown because these conditions were made identical.  
324 The using same calculation was performed for the initial dissolution rate constant  $k_{D0}$  in TVS  
325 model, and it was found that the values were  $128 \pm 11$  mL/min at  $V = 500$  mL and  $138 \pm 12$   
326 mL/min at  $V = 900$  mL. From the above, it was shown that the dissolved mass per unit time  
327 was almost equal. The dissolution profile of the SDs was further fitted using the parameters  
328 related to dissolution rate constants.

329

#### 330 *4.3. Dissolution profiles of SD and parameter estimation with curve fitting*

331 **Figure 5** shows the dissolution profiles of SDs. Dashed curves represent the results  
332 for fitting using the CS model, and solid curves represent the results for fitting with the TVS  
333 model. Similar to the result for dissolution using only IB, IB concentration increased faster  
334 when using the smaller amount of dissolution medium. In addition, the SD with the high  
335 polymer ratio (IB/PVP (1/5)) showed faster dissolution and a higher concentration of the  
336 drug than the SD with a low polymer ratio (IB/PVP (1/3)). In addition, the fitted CS model  
337 was found not to account for the actual dissolution behavior under supersaturation  
338 conditions. On the other hand, the values estimated by the TVS model showed good  
339 agreement with experimental values during the early stage of dissolution and the  
340 supersaturation concentration of the drug gradually reached the solubility of the stable  
341 crystals. **Table 2** shows a quantitative evaluation of the differences between theoretical  
342 models, including AIC, RMSE, and  $R^2$ . The values of AIC and RMSE were low and that of

343  $R^2$  were high in the TVS model under all conditions, suggesting that the TVS model could  
344 explain the actual dissolution behavior of IB from the SDs more precisely than the CS  
345 model.

346 **Table 3** shows the results analyzed by the TVS model and estimated parameters  
347 for the dissolution of the SD. The crystal precipitation rate constants from amorphous drug  
348 were increased as the polymer ratio and the amount of medium were increased. In addition,  
349 when the change per unit time with respect to the mass dissolved was taken into  
350 consideration similar to section 4.2, the crystal precipitation rate constant also increased as  
351 the medium volume increased. The bulk concentration of the drug in the vessel increased as  
352 the polymer ratio increased and the quantity of drug dispersed into the solution also increased.  
353 Therefore, stable crystals became easy to precipitate, and the precipitation rate was  
354 consequently increased. In addition, the concentration of the polymer in the vessel decreased  
355 as the medium volume was increased when the polymer ratio was constant because the  
356 quantity of PVP placed into the vessel was constant. On the other hand, the crystal generation  
357 from amorphous drug was disturbed by the crystal suppressant effect of the polymer in the  
358 solid dispersion and in the vessel (Alonzo et al., 2010). The crystal suppressant effect of the  
359 polymer became attenuated as the amount of the solvent increased. As a result, we concluded  
360 that the preparation of stable crystals became more rapid and the precipitation rate was high.

361 The potential solubility of the amorphous drug  $C_M$  was increased as the polymer  
362 ratio increased at medium volume of 500 mL. It was reported that the solubility of the SD  
363 using PVP was improved by increasing the polymer ratio (Najib et al., 1986; Newa et al.,  
364 2007; Jahangiri et al., 2015), suggesting that a higher polymer ratio in the SD could  
365 potentially allow the solubility to increase by this theory. On the other hand, the difference in  
366 the potential solubility associated with the polymer ratio was not recognized with a medium  
367 volume of 900 mL. Because an IB/PVP (1/3) SD in 900 mL showed a large estimated error

368 during fitting, it was thought that an accurate estimated value about the potential solubility  
369  $C_M$  could not be obtained.

370 In this study, it was assumed that addition of excess drug to vessels allows the change  
371 in surface area to be neglected. Accordingly, a decreased rate constant of surface area change  
372 represents the agglomeration rate constant of SD at the time of exposure to the solvent. In  
373 addition, the equilibrium dissolution rate constant denotes the degree of agglomeration, and  
374 the rate decreases as the available surface area over which the drug directly contacts the  
375 solvent decreases. In other words, it is concluded that the agglomeration rate is fast when  $k_E$   
376 is high, and that agglomeration of SD does not occur when  $k_{eq}$  is high. In both cases of  
377 medium volumes of 500 and 900 mL, the decrease rate constant  $k_E$  of IB/PVP (1/3) SD was  
378 approximately three times higher than that of IB/PVP (1/5) SD. From this, it was speculated  
379 that the SD with the lower polymer ratio aggregated faster when it was exposed to the solvent,  
380 and its available surface area became smaller. Furthermore, the equilibrium dissolution rate  
381 constant  $k_{eq}$  of IB/PVP (1/3) SD was also higher than IB/PVP (1/5). Thus, it was speculated  
382 that the eventual available surface area of the SD with the higher polymer ratio decreased  
383 considerably through agglomeration. Because the quantity of IB was integrated for each  
384 experimental system in this study, the quantity and concentration of polymer in the vessel  
385 became larger as the polymer ratio of the SD increased. Therefore, it is suggested that the SD  
386 with the larger polymer ratio had the greater interaction between the SD and the polymer, so  
387 its available surface area was smaller than that with a smaller polymer ratio as a result of  
388 agglomeration leading to larger particle diameters.

389

#### 390 *4.4. Estimation of secondary parameters and discussion*

391 **Table 4 (A)** shows the maximum concentration of the drug and the time required to  
392 reach the maximum concentration, estimated from the measured values.  $C_{max}$  increased with

393 increasing polymer ratio in a medium volume of 500 mL, and  $T_{\max}$  was prolonged as that the  
394 quantity of solvent increased. A higher  $C_{\max}$  was observed because the amorphous drug  $C_M$   
395 increased as the polymer ratio was increased and the concentration of IB rose prior to the  
396 precipitation of the stable crystals. In addition, because the equilibrium dissolution rate  
397 constant of the drug decreased when the volume of a solvent increased, an increase in  $T_{\max}$   
398 was observed. **Table 4 (B)** shows the secondary parameters for dissolution,  $Y$ ,  $C_{\max}$ ,  $T_{\max}$ ,  
399 estimated by curve fitting. The maximum concentration of the drug  $C_{\max}$  estimated from the  
400 theoretical values showed good agreement with  $C_{\max}$  calculated from the measured values  
401 and the relative error in  $C_{\max}$  between the estimated and measured values was calculated as  
402 around 5% at most. Although the relative errors in  $T_{\max}$  increased generally, no significant  
403 difference between estimated and measured values was apparent. Therefore, we conclude that  
404  $C_{\max}$  and  $T_{\max}$  estimated using this mathematical model accurately reflect the values for the  
405 actual dissolution behavior of the drug. The precision of these parameters can be improved by  
406 sampling more closely at the peak of supersaturation. The increment of dissolution  $Y$  reached  
407 a high value as the polymer ratio increased and showed a value of approximately four times  
408 when comparing IB/PVP (1/3) SD and IB/PVP (1/5) SD in a medium volume of 500 mL. The  
409 increase in polymer ratio not only caused a large precipitation ratio of stable crystals, but also  
410 kept the dissolution rate constant and concentration high. Therefore, the contribution to the  
411 increase in the concentration of the drug through dissolution was larger than the decrease in  
412 the concentration of the drug through precipitation. As a result, we suggest that  
413 supersaturation was maintained for a long period by the high concentration when the polymer  
414 ratio was large. In contrast,  $Y$  was smaller when there was a large solvent volume because the  
415 contribution of the increase in drug concentration with dissolution was smaller, and the drug  
416 concentration was lower in comparison with the case of a small solvent volume. Thus, using  
417 the increment of the dissolution  $Y$ , it is possible to quantitatively analyze supersaturation

418 under the conditions of constant solvent volume, to evaluate the solubility of different SD  
419 samples.

## 420 **5. Conclusions**

421           In this study, a novel mathematical model representing drug dissolution at the solid-  
422 liquid interface was developed, and its verification was evaluated for a SD of IB/PVP. This  
423 model was fitted to the dissolution profile of the SD, and the estimated values from this  
424 model showed good agreement with experimental values, indicating that the model could  
425 describe the dissolution profile of the SD. In addition, this model could estimate the potential  
426 solubility of the drug in the SD and the increment of dissolution  $Y$  was shown to be a useful  
427 index that indicates the degree of drug dissolution of a preparation. If the drug dissolves  
428 without agglomeration, it is thought that the simpler CS model can be applied to drug  
429 dissolution. However, since the dissolution of SDs often proceeds with agglomeration, the  
430 TVS model assuming that the available surface area changed during dissolution is more  
431 appropriate to the analysis of supersaturation of SDs. This model would be difficult to predict  
432 their dissolution behaviors because this should be performed by using numerical analysis.  
433 However, only just two physicochemical parameters (solubility and dissolution rate constant)  
434 obtained by other independent experiments are needed, suggesting that this model would be  
435 applicable for analyzing dissolution behavior of other solid dispersion formulations.

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540

541 **TABLES**

542 **Table 1.** Estimated values of parameters related to the dissolution of stable crystals,  $k_D$ ,  $k_{D0}$ ,  
 543  $k_{Df}$  and  $k_{Dr}$ , at medium volumes of 500 and 900 mL. Each parameter is the mean value  $\pm$  S. E.  
 544 ( $n=3$ )

Volume (mL)	CS model	TVS model		
	Dissolution rate constant $k_D$ ( $\text{min}^{-1}$ )	Initial dissolution rate constant $k_{D0}$ ( $\text{min}^{-1}$ )	Constant $k_{Df}$ ( $\text{min}^{-1}$ )	Constant $k_{Dr}$ ( $\text{min}^{-1}$ )
500	$0.128 \pm 0.005$	$0.256 \pm 0.022$	$0.059 \pm 0.009$	$0.413 \pm 0.153$
900	$0.061 \pm 0.005$	$0.153 \pm 0.013$	$0.034 \pm 0.005$	$0.294 \pm 0.045$

545

546

547 **Table 2.** Estimated AIC, RMSE and coefficient of determination ( $R^2$ ) values for the CS and  
 548 TVS models at medium volumes of 500 and 900 mL.

IB/PVP	Volume (mL)	CS model			TVS model		
		AIC	RMSE	$R^2$	AIC	RMSE	$R^2$
1/3	500	144	8.17	0.904	116	3.95	0.971
	900	124	7.36	0.939	94	3.01	0.986
1/5	500	158	11.99	0.678	90	4.66	0.938
	900	151	10.06	0.813	122	1.96	0.991

549

550

551 **Table 3.** Estimated values of parameters related to dissolution of SD,  $k_C$ ,  $C_M$ ,  $k_E$  and  $k_{eq}$ , at552 medium volumes of 500 and 900 mL. Each parameter is the mean value  $\pm$  S. E. ( $n=3$ )

IB/PVP	Volume (mL)	$k_C$ ( $\text{min}^{-1}$ )	$C_M$ ( $\mu\text{g/mL}$ )	$k_E$ ( $\text{min}^{-1}$ )	$k_{eq}$ ( $\text{min}^{-1}$ )
1/3	500	$3.09 \pm 1.03 \times 10^{-3}$	$1.02 \pm 0.05 \times 10^2$	$1.53 \pm 0.48$	$2.54 \pm 0.09 \times 10^{-2}$
	900	$6.36 \pm 1.52 \times 10^{-3}$	$1.37 \pm 0.22 \times 10^2$	$1.75 \pm 0.20$	$1.22 \pm 0.02 \times 10^{-2}$
1/5	500	$5.09 \pm 1.02 \times 10^{-3}$	$1.63 \pm 0.20 \times 10^2$	$5.61 \pm 1.22 \times 10^{-1}$	$1.88 \pm 0.04 \times 10^{-2}$
	900	$6.37 \pm 1.53 \times 10^{-3}$	$1.38 \pm 0.16 \times 10^2$	$6.32 \pm 1.83 \times 10^{-1}$	$1.12 \pm 0.15 \times 10^{-2}$

553

554

555 **Table 4.** (A) Measured (B) and estimated values of secondary parameters related to  
 556 dissolution of SD,  $Y$ ,  $C_{\max}$  and  $T_{\max}$ , at 500 and 900 mL.  $Y$  was calculated only in the case of  
 557 estimated values. Each estimated parameter is the mean value  $\pm$  S. E. ( $n=3$ )

558 (A)

IB/PVP	Volume (mL)	$C_{\max}$ ( $\mu\text{g/mL}$ )	$T_{\max}$ (min)
1/3	500	98.6	150
	900	95.5	180
1/5	500	129.7	120
	900	96.4	150

559

560 (B)

IB/PVP	Volume (mL)	$C_{\max}$ ( $\mu\text{g/mL}$ )	$T_{\max}$ (min)	$Y$ ( $\mu\text{g/mL} \cdot \text{min}$ )
1/3	500	$93.6 \pm 1.7$	$155 \pm 11$	$3.58 \pm 0.33 \times 10^3$
	900	$93.7 \pm 2.4$	$206 \pm 11$	$2.96 \pm 0.73 \times 10^3$
1/5	500	$124 \pm 3$	$113 \pm 7$	$1.32 \pm 0.64 \times 10^4$
	900	$99.7 \pm 3.4$	$191 \pm 2$	$6.17 \pm 1.11 \times 10^3$

561

562

563 **FIGURES**

564 **Figure 1.** Dissolution from solid drug: (A) the stable crystal; (B) the metastable crystal or  
565 amorphous drug with a reaction at the solid-liquid interface upon dissolution.

566  
567 **Figure 2.** Estimated dissolution profile of the drug: (A) the stable crystal; (B) the metastable  
568 crystal or amorphous drug with a reaction at the solid-liquid interface upon dissolution.

569  
570 **Figure 3.** Powder X-ray Diffractometry patterns of (A) IB/PVP (1/5) solid dispersion (SD),  
571 (B) IB/PVP (1/5) physical mixture (PM), (C) IB/PVP (1/3) SD, (D) IB/PVP (1/3) PM, (E)  
572 PVP and (F) IB.

573  
574 **Figure 4.** Experiments and simulations of dissolution profiles of IB with different medium  
575 volumes: (A) 500 mL; (B) 900 mL.

576 Symbols: experimental values  $\pm$  S. D. ( $n=3$ ); solid curves: Eq. (21); and dashed curves: Eq.  
577 (23).

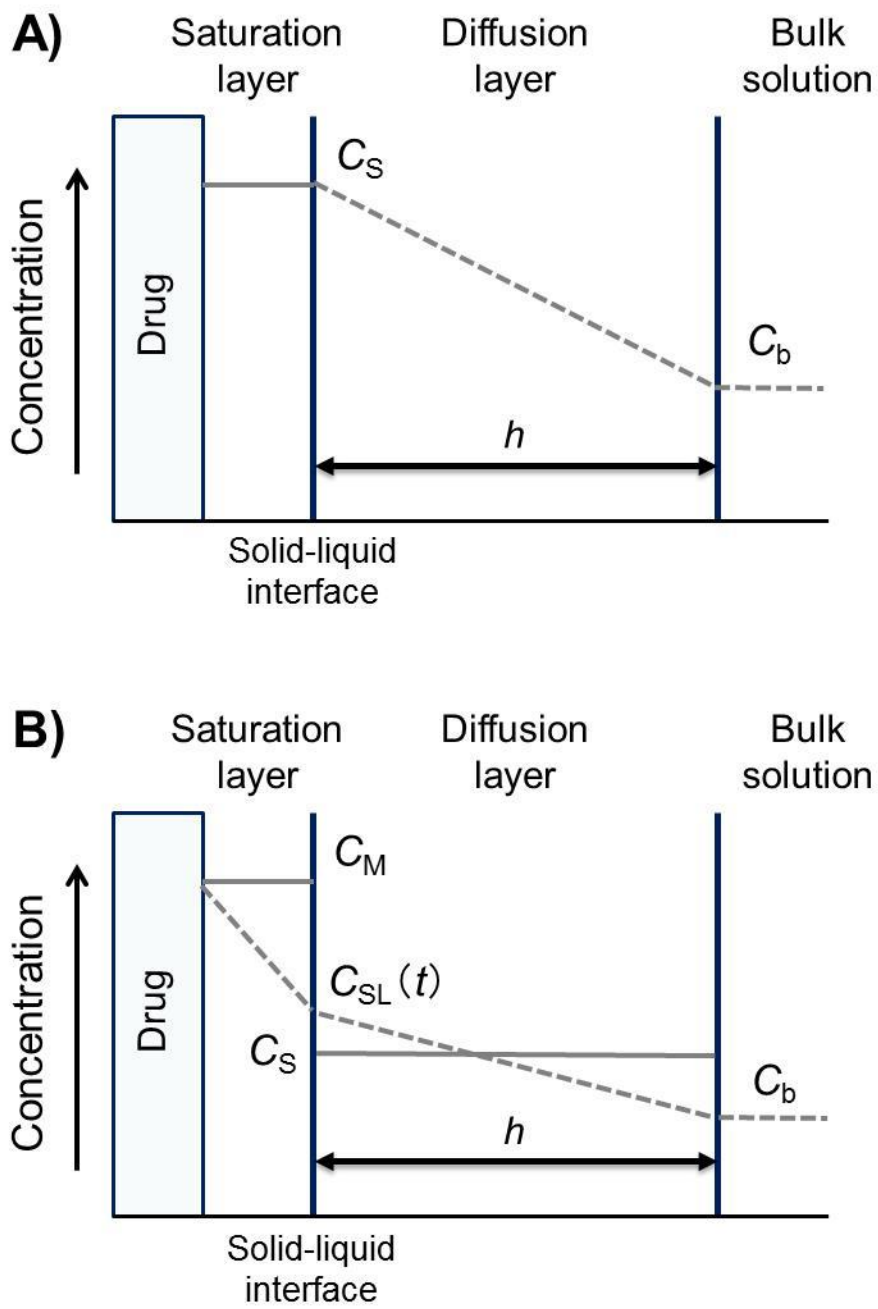
578  
579 **Figure 5.** Experiments and simulations of dissolution profiles of solid dispersion (SD) with  
580 various polymer ratios and bulk volumes: (A) IB/PVP (1/3) SD,  $V=500$  mL, (B) IB/PVP (1/3)  
581 SD,  $V=900$  mL, (C) IB/PVP (1/5) SD,  $V=500$  mL, (D) IB/PVP (1/5) SD,  $V=900$  mL.

582 Symbols: experimental values  $\pm$  S. D. ( $n=3$ ); dashed curves: Eq. (10); and solid curves:  
583 Numerical solutions of Eq. (19).

584

Figure 1.

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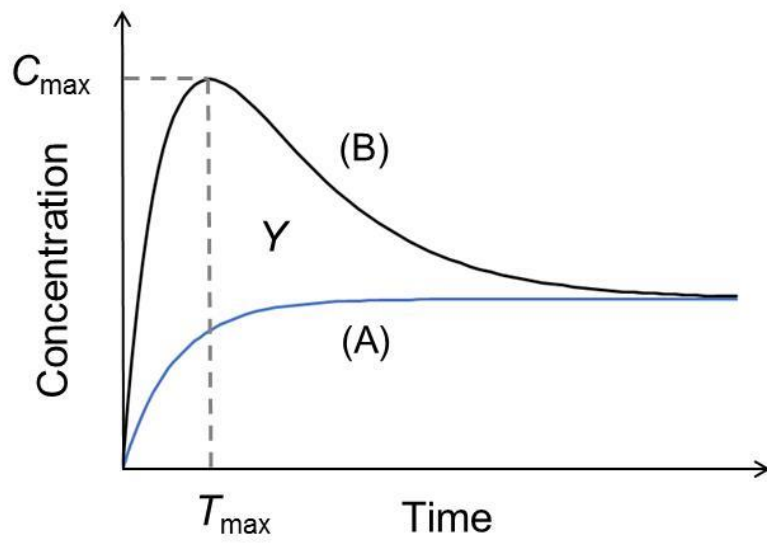
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Figure 2.

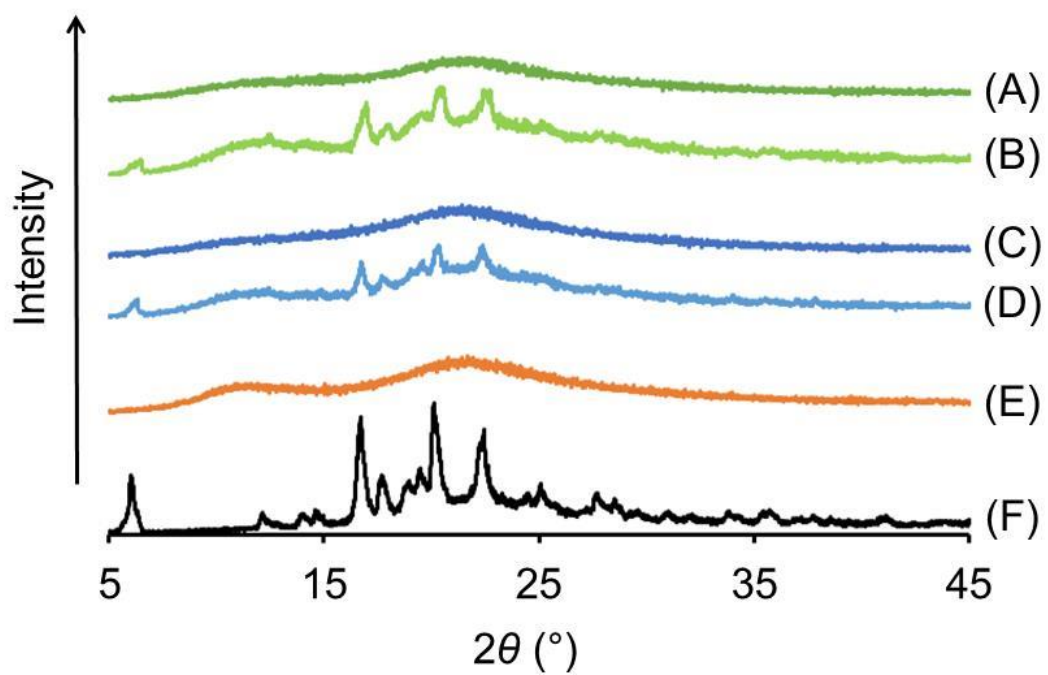
Hirai *et al.*



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Figure 3.

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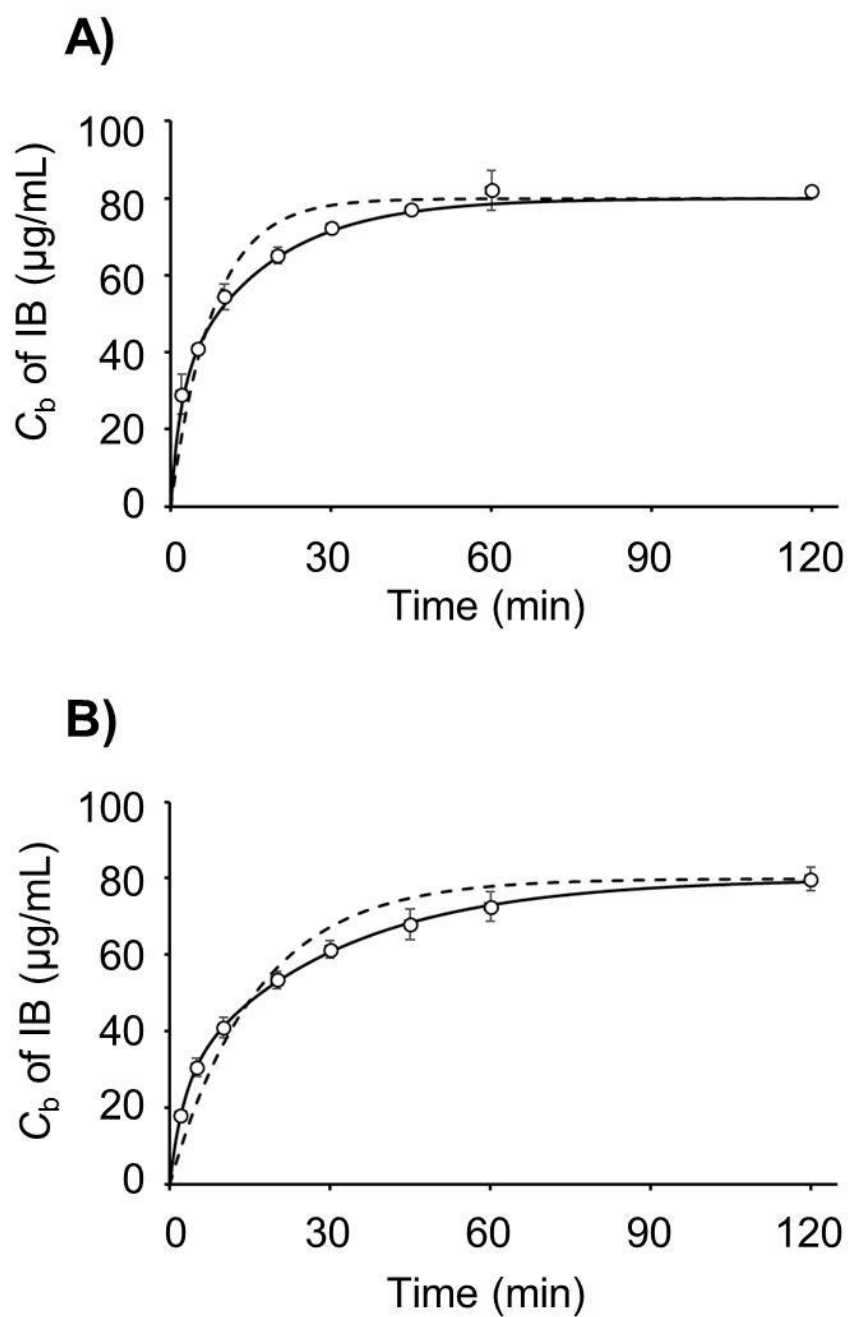
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**Figure 4.**

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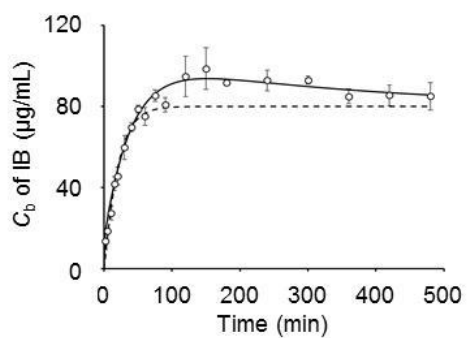
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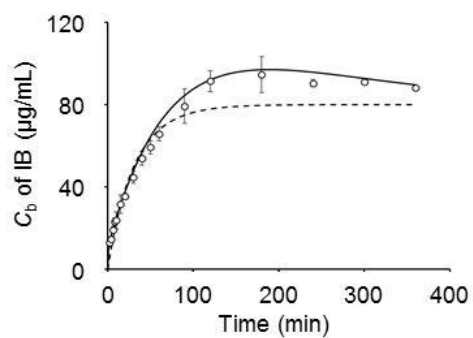
Figure 5.

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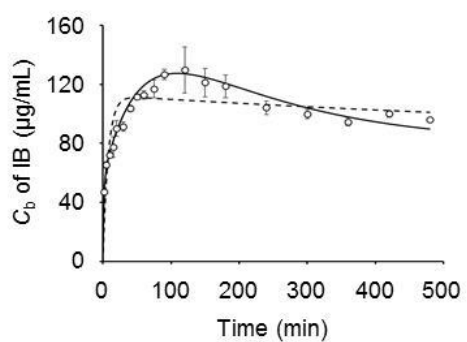
A)



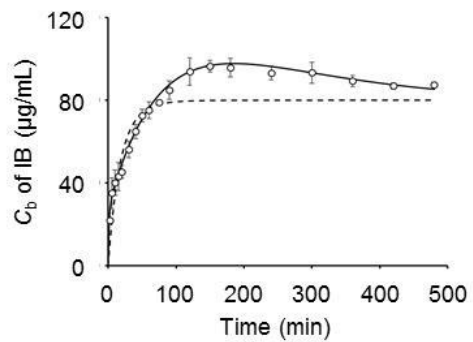
B)



C)



D)



598

599