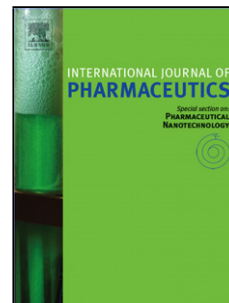


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1 **Structural investigation of spherical hollow excipient Mannit QbyX-ray microtomography**

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14 **Graphical abstract**

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16 **Abstract**

17 The structure of Mannit Q particles, an excipient made by spray-drying a D-mannitol
18 solution, and Mannit Q tablets were investigated by synchrotron X-ray microtomography. The
19 Mannit Q particles had a spherical shape with a hollow core. The shells of the particles
20 consisted of fine needle-shaped crystals, and columnar crystals were present in the hollows.
21 These structural features suggested the following formation mechanism for the hollow
22 particles: during the spray-drying process, the solvent rapidly evaporated from the droplet
23 surface, resulting in the formation of shells made of fine needle-shaped crystals. Solvent
24 remaining inside the shells then evaporated slowly and larger columnar crystals grew as the
25 hollows formed. Although most of the Mannit Q particles were crushed on tableting, some of the
26 particles retained their hollow structures, probably because the columnar crystals inside the
27 hollows functioned as props. This demonstrated that the tablets with porous void spaces may
28 be readily manufactured using Mannit Q.

29

30 Abbreviations: μ CT, computed microtomography; SEM, scanning electron microscopy

31

32 Keyword: Excipient particle, mannitol, tablet, computed microtomography, synchrotron X-ray
33 radiation.

34

35 D-Mannitol has been widely used as a pharmaceutical excipient because of its low reactivity
36 and low hygroscopicity. Recently, D-mannitol has been especially used for preparing oral
37 disintegrating tablets, because its sweetness can mask the bitterness of drugs. However,
38 excipients made of D-mannitol crystals often show relatively low flowability and low
39 tablet-formability. Mannit Q manufactured by the spray-drying of a mannitol solution was
40 developed to overcome those weaknesses (Segawa et al., 2012). Mannit Q particles have been
41 revealed to be spherical and hollow by conventional X-ray computed microtomography (μ CT).
42 The shells of the hollow particles consist of fine needle-shaped β -form mannitol crystals of
43 submicrometer thickness. Although Mannit Q shows higher flowability and
44 compressibility than D-mannitol crystal powders owing to these structural characteristics, the
45 detailed structure of inside the particles is still ambiguous owing to the limited spatial resolution
46 of the conventional nondestructive X-ray μ CT method. The change in structure of the hollow
47 particles on tableting is also unknown. In this study, Mannit Q particles were studied before and
48 after tableting using a synchrotron X-ray μ CT method with submicrometer spatial resolution
49 (Uesugi et al., 2012; Noguchi et al., 2013), to elucidate in detail the structures of Mannit Q
50 particles and their structural changes on tableting.

51 Mannit Q (median particle size 37 μ m) was obtained from Mitsubishi Shoji Foodtech
52 (Shizuoka, Japan). The Mannit Q was dried at 40°C overnight before use and was sieved into
53 three fractions, < 37 μ m (fraction A), 37–53 μ m (fraction B), and 53–74 μ m (fraction C). The
54 weight ratio of the three fractions was 36:30:34. Each fraction was mixed with 0.5% (w/w)
55 magnesium stearate as a lubricant using a V-shaped rotating mixer (Microtype Transparent
56 Mixer S-3, Tsutsui Rikagaku Kikai Co., Ltd., Tokyo, Japan) at 35 rpm for 5 min. Tableting was
57 conducted using a single-punch tablet machine (TAB ALL N30-EX, Okada Seiko Co., Ltd.,
58 Tokyo, Japan) with a flat-faced punch of 8 mm in diameter. Compression forces of 5.0, 7.5, and

59 10 kN were used. The weight of each tablet was 250 mg. The hardnesses of five
60 randomly-selected tablets were measured with a PC-30 hardness meter (Okada Seiko Co., Ltd.,
61 Japan). The disintegration times of six tablets in distilled water were measured at 37.0°C using a
62 disintegration tester (NT-1HM, Toyama Sangyo Co., Ltd., Osaka, Japan) according to Japanese
63 Pharmacopoeia XVI. Synchrotron X-ray μ CT measurements of Mannit Q particles and tablet
64 fragments were conducted at BL37XU of SPring-8 (Hyogo, Japan), which was equipped with a
65 μ CT apparatus (Uesugi et al., 2012; Suzuki et al., 2011). Mannit Q particles were put into a
66 Lindemann glass capillary with a diameter of 0.3 mm. Cubic fragments of approximately
67 500–600 μ m in length were cut out from the center of Mannit Q tablets and were adhered to the
68 tip of glass rods with cyanoacrylate-based bonding agent (Kajihara et al., 2015). The conditions
69 for the μ CT measurements of these samples were set as usually employed for μ CT
70 measurements of fine granular pharmaceutical samples (Noguchi et al., 2013). Briefly, the X-ray
71 energy was set at 8 keV, 900 parallel projection transmission images were recorded in 0.2°
72 steps with continuous rotation at 25°C, and the exposure time for each transmission image was
73 150 ms. Cross-sectional images with a voxel size of 0.506 \times 0.506 \times 0.506 μ m³ were calculated
74 using the *CBP* software package (Uesugi, 2004). Image analyses were performed using *SLICE*
75 (Nakano et al., 2006) and *ImageJ* (Schneider et al., 2012). The roundness of the Mannit Q
76 particles ($n = 3\text{--}4$) was calculated from their cross-sectional images by μ CT analyses by
77 using *ImageJ*. The void–voxel ratio (VVR) defined below was calculated from the
78 cross-sectional images to evaluate the porosity of the Mannit Q particles and tablet fragments:

$$\text{VVR} = \frac{\text{Number of void voxels in the sample}}{\text{Total number of voxels of the sample}} \times 100 (\%)$$

79 where void voxel was defined as a voxel with a linear absorption coefficient of less than 0.3
80 cm^{-1} . The surface structure of the Mannit Q particles was observed by scanning electron
81 microscopy (SEM; TM3030, Hitachi High Technologies, Tokyo, Japan). The particles were

82 placed on adhesive tape and were sputter-coated with gold prior to SEM imaging.

83 The cross-sectional images of the Mannit Q particles by μ CT analyses confirmed that they
84 had a highly spherical shape with a hollow core (Fig. 1a). The roundness of Mannit Q particles of
85 fractions A, B, and C were 0.982 ± 0.01 , 0.963 ± 0.03 , and 0.952 ± 0.05 , respectively. The shell
86 thicknesses of the particles were 2–6 μ m. Small pores of 1–2 μ m in diameter were found in the
87 shells and were also observed in the surface SEM images (Fig. 1b). The VVR values of Mannit
88 Q particles of fractions A, B, and C were $39.1 \pm 4.6\%$, $40.1 \pm 5.8\%$, and $44.6 \pm 4.4\%$,
89 respectively. These results indicated that the particles were highly spherical and had large void
90 spaces inside the shell independent of the particle diameter. The cross-sectional images also
91 revealed that columnar mannitol crystals of 2–5 μ m thickness had grown inside the hollows (Fig.
92 1c). The number of Mannit Q particles in which columnar mannitol crystals were present was
93 counted by inspecting the cross-sectional images visually, and the ratio is shown as a function of
94 particle diameter in Fig. 2. The ratio increased as the particle diameter increased, and all
95 particles with a diameter larger than 50 μ m contained columnar crystals.

96 These structural features of Mannit Q suggested the mechanism of how the spherical
97 hollow particles formed during the spray-drying process (Fig. 3). During the process, the
98 mannitol solution forms spherical droplets as it is sprayed from the nozzle. The solution would
99 evaporate so rapidly from the surface that fine needle-shaped mannitol crystals would precipitate
100 immediately and form spherical shells. Vapor from solution trapped inside the spherical
101 shells would slowly come out through the pores of the shells. The evaporation of the solution
102 inside the shell would be so slow that much larger mannitol crystals could grow inside the shell,
103 resulting in the formation of a hollow structure containing columnar crystals. Because it would
104 take a longer time for the solution inside the shells of larger droplets to evaporate, such columnar
105 crystals would have even more chance to grow. This could explain why most of the larger

106 particles contained columnar crystal in their hollows, as shown in Fig. 2. D-Mannitol formed
107 β -form crystals in the spray-drying process of Mannit Q, in spite that excipients often precipitate
108 as amorphous in spray drying (Chidavaenzi et al., 2001). In the crystal structure of β -form, each
109 hydroxyl moiety of D-mannitol molecule formed two hydrogen-bonds and total 12
110 hydrogen-bonds per one D-mannitol molecule were formed (Botezand Stephens, 2003). The
111 tight hydrogen-bond network might promote the rapid nucleation and growth of not the
112 amorphous but the crystals at the surfaces of the sprayed droplets as well as the in the hollows
113 of Mannit Q. β -Form crystal structure also indicated that the hydroxyl moieties of D-mannitol
114 molecules would be exposed on the surface of the crystals. The exposed hydroxyl moieties
115 would form hydrogen bonds with those of other crystals, which might contribute to the tight
116 adhesion between needle-shaped crystals and the formation of the hard shells.

117 The cross-sectional images of tablet fragments and the physical properties of the tablets are
118 shown in Fig. 4. Surprisingly, some of the particles in the tablets retained their hollow structures
119 even at the highest compression force of 10 kN, indicating that spherical hollows were
120 distributed sporadically in the Mannit Q tablets. Columnar crystals were found in almost all the
121 hollows remaining in the tablet fragments. The hollow structures in the tablets were retained
122 probably because the columnar crystals in the hollows functioned as props of the hard shells
123 against the compression force. Most of the Mannit Q particles in the tablets were crushed by the
124 compression force. Close packing of the fine needle-shaped crystals increased the binding area
125 and therefore binding force between them, and contributed to enhancing the tablet hardness.
126 Indeed, VVR decreased, hardness increased, and disintegration time elongated as compression
127 force increased, regardless of the Mannit Q fractions used. However, the increase in hardness
128 with compression force was most prominent in tablets made of fraction A. The ratio of fine
129 particles of Mannit Q less than 10 μm diameter in fraction A would be higher than those in

130 fractions B and C. These fine particles would have filled the space between larger particles on
131 tableting and thereby aid the formation of the close packing especially at higher tableting
132 compressing force.

133 In conclusion, synchrotron X-ray μ CT analyses revealed that Mannit Q is formed
134 of spherical particles with hollows containing columnar mannitol crystals. The unique hollow
135 structure was partly retained even in tablets prepared at high compression force. Our results
136 demonstrate that tablets with porous void spaces, which might enhance the liquid penetration into
137 the tablets, may be readily manufactured using Mannit Q as the main excipient or possibly as an
138 additive.

139

140 **Conflict of interest**

141 YY and MS are employees of Mitsubishi Shoji Foodtech. The other authors have no
142 potential conflict of interest to declare.

143

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- 177

178 **Figure captions**

179 Fig. 1. (a) Cross-sectional image of Mannit Q particles by μ CT analyses. X-ray linear attenuation
180 coefficients (LACs) between 0 and 70 are shown in grayscale with 70 as white. The calculated
181 LAC of β -form mannitol crystal is 11.1 cm^{-1} . The sample capillary has been removed from the
182 image for clarity. (b) SEM image of Mannit Q surface. (c) Three-dimensional stereoview
183 images of Mannit Q particle reconstructed from the cross-sectional images by μ CT analyses. Half
184 of the particle is removed to show its internal structure.

185

186 Fig. 2. Ratio of Mannit Q particles possessing columnar crystals in their hollows ($n=8-40$).

187

188 Fig. 3. Possible scheme for the formation of Mannit Q particles by spray-drying.

189

190 Fig. 4. (a) Cross-sectional images of tablet fragments. Bars at the lower right of each image are
191 scales indicating $50 \mu\text{m}$ length. (b) Hardness, disintegration time, and VVR of the tablets.

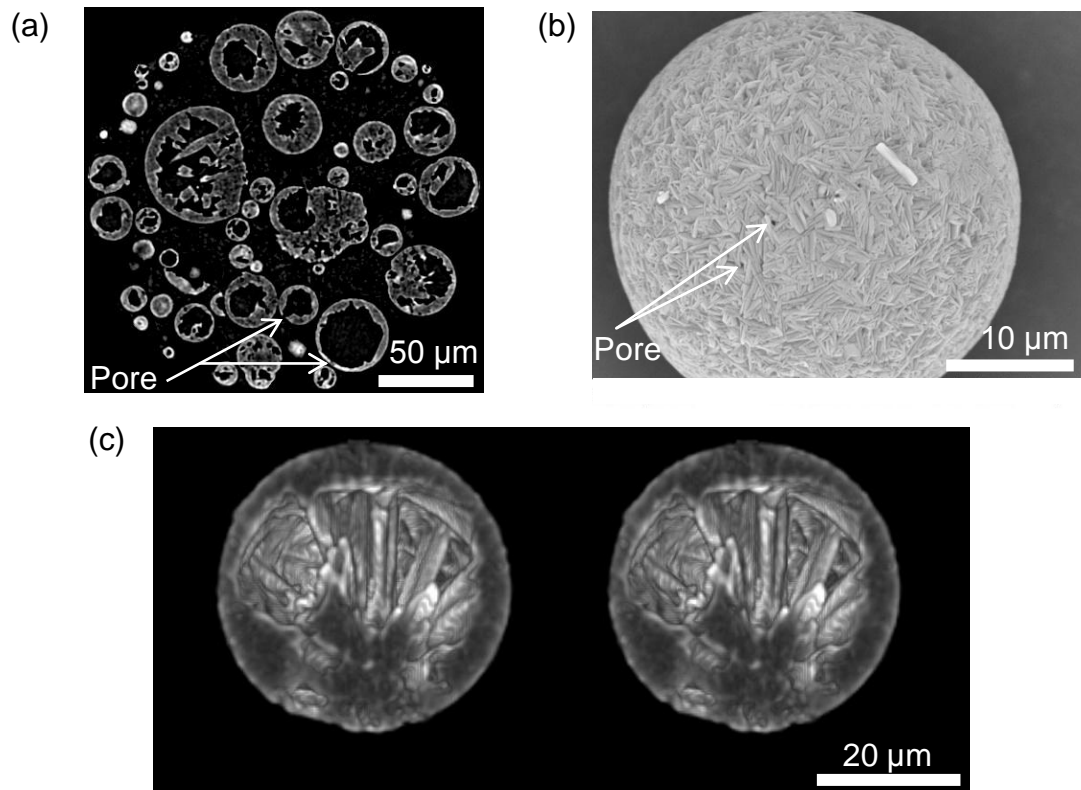


Fig.1

((c) is a stereo figure, so the distance between centers of two images should be 60 ± 3 mm)

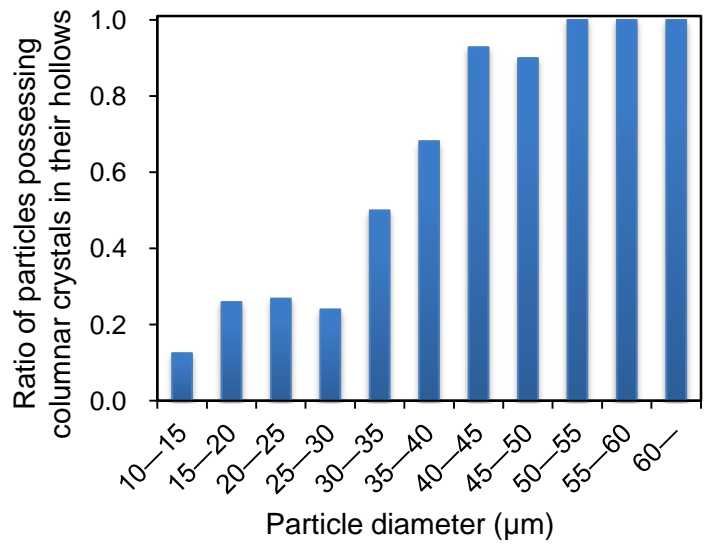


Fig.2

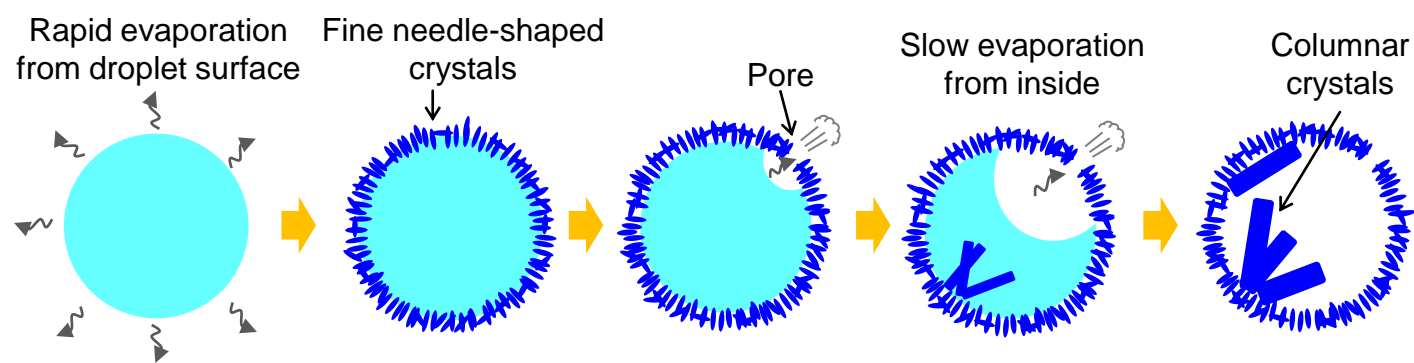


Fig. 3

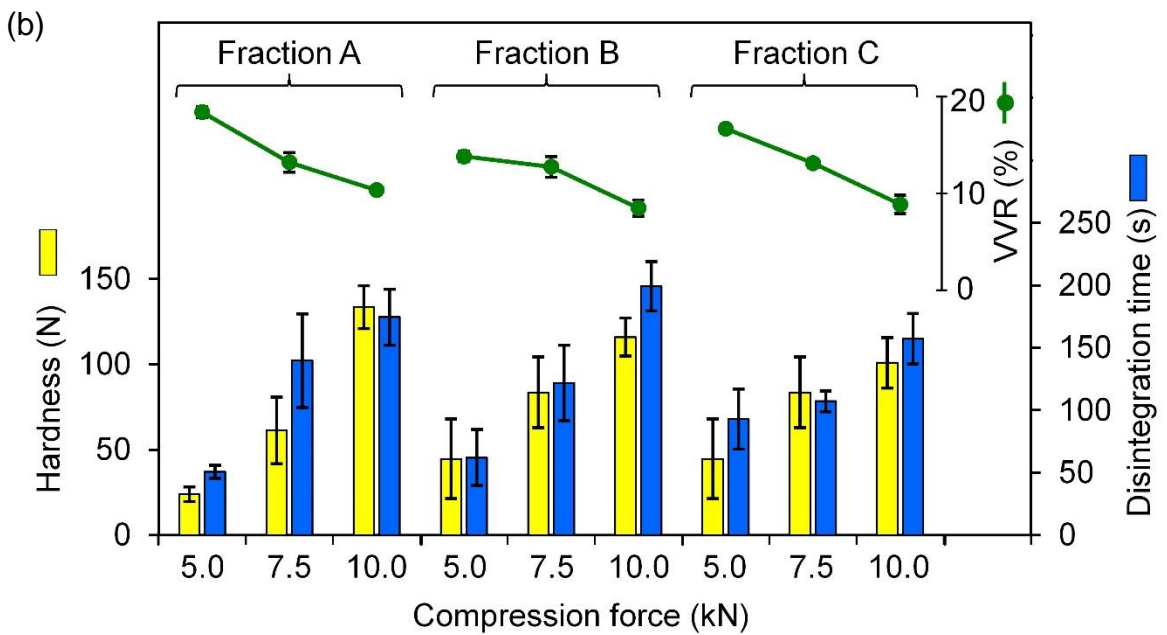
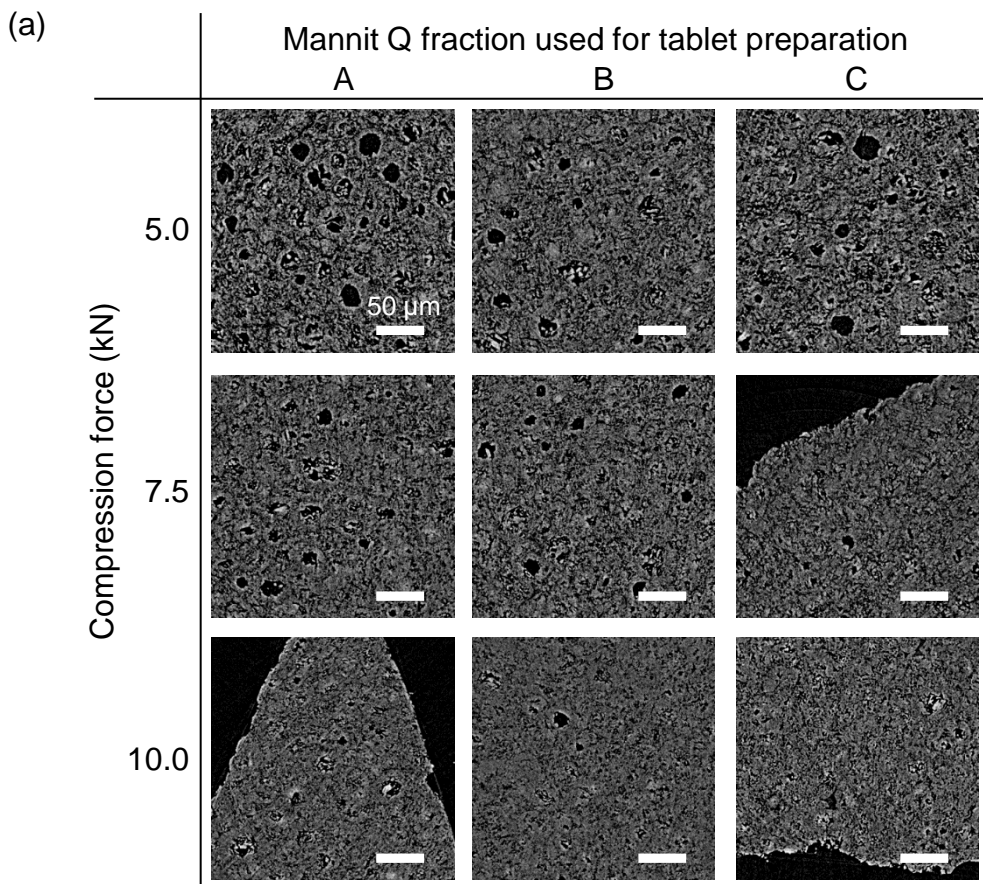


Fig. 4