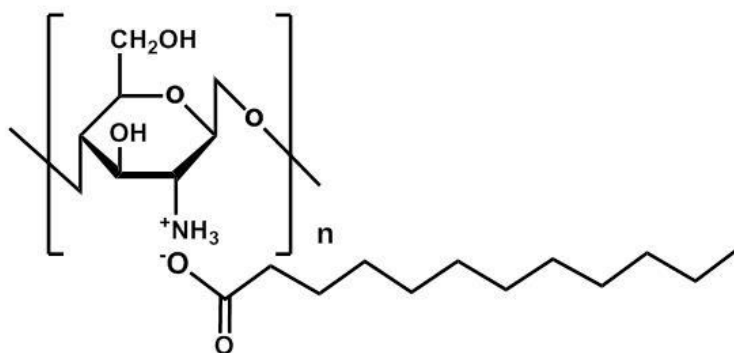


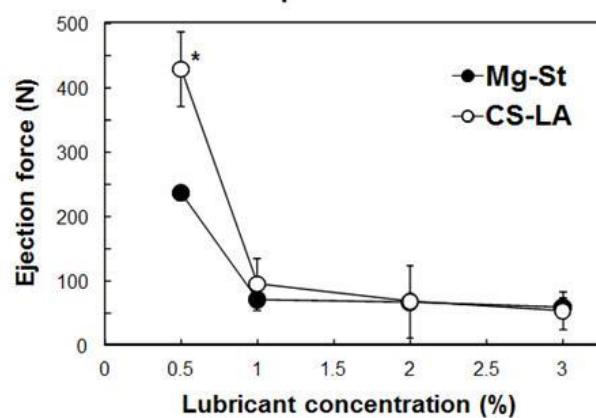
Graphical Abstract

Ahmad Bani-Jaber et al

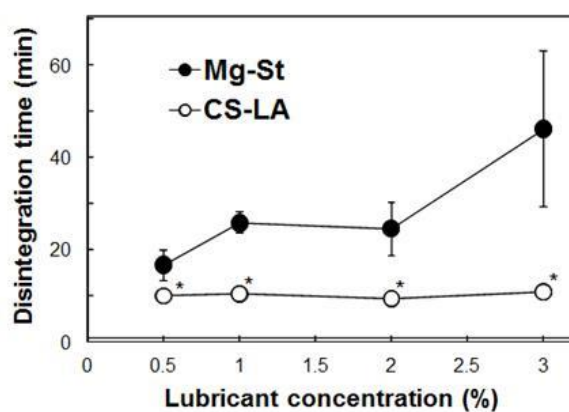
Chitosan laurate (CS-LA), a newly developed lubricant



【Lubrication performance】



【Tablet characteristics】



1
2

27 **Abstract**

28 To study the usefulness of chitosan laurate (CS-LA), a newly developed chitosan salt, as
29 a lubricant, lubrication properties such as the pressure transmission ratio and ejection force
30 were determined at different concentrations of CS-LA in tableting. In addition, tablet properties
31 such as the tensile strength, disintegration time, and dissolution behavior, were also
32 determined. When CS-LA was mixed at concentrations of 0.1% to 3.0%, the pressure
33 transmission ratio was increased in a concentration-dependent manner, and the value at a
34 CS-LA concentration of 3% was equal to that of magnesium stearate (Mg-St), a widely used
35 lubricant. Additionally, a reduction in the ejection force was observed at a concentration from
36 1%, proving that CS-LA has good lubrication performance. A prolonged disintegration time and
37 decreased tensile strength, which are known disadvantages of Mg-St, were not observed with
38 CS-LA. Furthermore, with CS-LA, retardation of dissolution of the drug from the tablets was
39 not observed. Conjugation of CS with LA was found to be quite important for both lubricant and
40 tablet properties. In conclusion, CS-LA should be useful as an alternative lubricant to Mg-St.

41

42 **Abbreviations:** CS-LA, chitosan laurate; D_{50} , median particle diameter; HPC-L,
43 hydroxypropylcellulose (low viscosity); L-HPC, low-substituted hydroxypropylcellulose; Mg-St,
44 magnesium stearate; SEM, scanning electron microscope; TR-FB, triglycerin full behenate.

45

46 **1. Introduction**

47 Magnesium stearate (Mg-St) is widely used as a lubricant in solid pharmaceutical
48 formulations (**Fig. 1A**). However, as the concentration of Mg-St in a formulation increases, it
49 causes manufacturing problems, such as a reduction in tablet mechanical strength (Strickland
50 et al, 1956), prolonged disintegration time (Strickland et al, 1956; Udeala et al, 1980; Flores et
51 al, 2000; Uğurlu and Turkoğlu, 2008) and retarded dissolution (Levy and Gumtow, 1963;
52 Murthy and Samyn, 1977). Additionally, to assure the uniform quality of pharmaceutical
53 products with Mg-St, it is necessary to discard the initial tablets after starting compression until
54 a uniform lubrication effect takes place.

55 To overcome these disadvantages, a variety of substances, including hydrophilic
56 organic materials, such as sodium stearyl fumarate (Hölzer and Sjögren, 1979; Chowhan and
57 Chi, 1986.), sucrose fatty acid esters (Shibata et al, 2002), glycerin dibehenate
58 (Compritol®)(N'Diaye et al, 2003), magnesium lauryl sulfate (Salpekar and Augsburg, 1974),
59 and hexagonal boron nitride (Uğurlu and Turkoğlu, 2008); hydrophobic organic materials; and
60 inorganic materials have been evaluated to date as alternative lubricants to Mg-St. Additionally,
61 the effect of co-treatment with these evaluated lubricant and Mg-St on lubrication properties
62 has also been evaluated (Wang and Chowhan, 1990; Adeagbo and Alebiowu, 2008). Recently,
63 we reported two types of glycerin fatty acid esters [Poem TR-FB® (TR-FB) and Poem TR-HB®

64 (TR-HB)] as alternatives to Mg-St (Aoshima et al, 2005; Uchimoto et al, 2010; Uchimoto et al,
65 2011; Uchimoto et al, 2013). These materials were found to show excellent lubrication
66 performance immediately after the start of compression.

67 Mg-St has a lamellar structure and a high hydrophobicity derived from long fatty acids,
68 such as stearic acid. Because of breakage of the lamellar structure by even weak forces
69 (tangential forces) during mixing conditions, Mg-St deformation easily occurs and Mg-St
70 spreads better over the entire surface of the granules and tablets (i.e. film formation). This
71 explains why Mg-St exerts lubrication effects, as well as causes the adverse effects
72 mentioned above. Conversely, the recently-discovered new lubricants, TR-FB and TR-HB do
73 not have a lamellar structure and cannot easily be spread over the entire surface of granules
74 and tablets, because of the quite hard nature of the primary particles; hence, they did not
75 show any adverse effects even if the concentration and mixing times of the lubricants were
76 increased (Uchimoto et al, 2010; Uchimoto et al, 2011). Therefore, we speculated that a
77 compound with a high hydrophobicity, as well as a bulky structure, in which easy extensibility
78 does not occur, might have potential as an alternative lubricant to Mg-St.

79 Recently, we prepared a chitosan derivative, chitosan conjugated with lauric acid
80 (CS-LA), (**Fig. 1B**) and successfully obtained a CS-LA tablet with gastro-retained properties,
81 because one half of the amino residues of CS was modified and CS-LA could not completely

82 dissolve in the low pH conditions of the gastro fluids (Bani-Jaber et al, 2012). Basically, CS is a
83 partially deacetylated form of chitin and it has recently received much attention as a new
84 excipient and/or functional material with potential in the pharmaceutical and food industry
85 (Illum, 1998). CS has excellent material properties, including biocompatibility, biodegradability,
86 and non-toxicity, as well as chemical and physical stability. Interestingly, Mir et al. (2008)
87 reported that CS was bulky in structure and resistant to deformation against strong forces
88 because of the hardness of the primary particles. Lauric acid is a saturated fatty acid with a
89 12-carbon chain, which has been reported to show low toxicity and have good lubricating
90 performance. We speculated that CS-LA might have better lubricant properties, without
91 causing any adverse effects derived from a better spread of material over the entire surface of
92 the granules or tablets compared with Mg-St.

93 In this study, we determined the lubricant properties of CS-LA by measuring the
94 pressure transmission ratio and the ejection force, as representative lubricant properties; and
95 the tensile strength and disintegration time, as well as the dissolution behavior, as tablet
96 characteristics, compared with those of Mg-St.

97

98 **2. Materials and methods**

99 **2.1. Materials**

100 Magnesium stearate [(Mg-St) vegetable origin, pharmaceutical grade additive, listed
101 in the Japanese Pharmacopoeia Sixteenth Edition (JP16th), lot number: WAN 0484] was
102 purchased from Wako Pure Chemical Industries, Ltd. (Osaka, Japan). The median particle
103 diameter (D_{50}) of Mg-St was 11.5 μm , measured by a laser diffraction method [LS 13 320,
104 Beckman Coulter (Tokyo, Japan)]. High molecular weight CS (600,000) with more than 75%
105 deacetylation (Brookfield viscosity 800,000 cps in 1% solution with 1% acetic acid), and lauric
106 acid (LA) were purchased from Sigma-Aldrich. Lactose monohydrate (Pharmatose 200M,
107 listed in JP16th, used as a filler, lot number: 10686010), microcrystalline cellulose (Ceolus
108 PH-102, listed in JP16th, used as a filler, lot number: 2173 BAG), and hydroxypropylcellulose
109 [(HPC-L) listed in JP16th, used as a binder, lot number: NCI-1411] were kindly provided by
110 DFE Pharma (Tokyo, Japan), Asahi Kasei Chemicals Corp. (Tokyo, Japan), and Nippon Soda
111 Co., Ltd. (Tokyo, Japan), respectively. Acetaminophen (APAP) was kindly provided by Iwaki
112 Pharmaceutical Co. Ltd. (Shizuoka, Japan). Low-substituted hydroxypropylcellulose LH-21
113 [(L-HPC), listed in JP16th, Shin-Etsu Chemical Co., Ltd. (Tokyo, Japan)] was used as a
114 disintegrant. All other reagents used were of the highest grade available from commercial
115 sources and all solutions were prepared with deionized water.

116

117 **2. 2. Preparation and physicochemical properties of lauric acid salt of chitosan (CS-LA)**

118 CS-LA was prepared as previously described (Bani-Jaber et al, 2012). Briefly,
119 saturated CS solutions in 0.18% acetic acid (400 mL) were combined with sodium LA solutions
120 equivalent to 1.5% fatty acid (200 mL) and 400 mL distilled water. The mixtures were
121 incubated and shaken, with subsequent filtration and two distilled water washes of the
122 obtained precipitates. The precipitates were left to dry at room temperature in glass
123 petri-dishes, and then ground into powders using a mortar and pestle and passed through a
124 250- μ m sieve. The D_{50} values of CS-LA, as well as other compounds, were measured by
125 image analysis using WinROOF image analysis software (Version: 5.5, MITANI Co., Ltd.,
126 Tokyo, Japan). The materials were fixed onto specimen stubs by means of double-sided
127 carbon conductive adhesive strips and coated with platinum in a sputter coater under vacuum
128 at 8 Pa for 90 s (JFC-1600, Japanese Electron Optics Laboratory Co. Ltd., Tokyo, Japan).
129 Images were taken with a scanning electron microscope (SEM, JEOL JSM-5200, Japanese
130 Electron Optics Laboratory Co. Ltd., Tokyo, Japan) with an emission of 15 kV and a
131 magnification of 350 times.

132

133 **2.3. Preparation of 50% acetaminophen granules**

134 Lactose monohydrate and microcrystalline cellulose were dried using an oven at
135 50 °C for 12 h. Using a mixer (Fuji Medical Equipment Co. Ltd., Tokyo, Japan), 105 g of
136 lactose monohydrate and 45 g of microcrystalline cellulose were mixed for 15 min. APAP (150
137 g) was then added to this powder and mixed for an additional 15 min. A total of 112.5 g of 5.0%
138 w/w aqueous solution of HPC-L was added to this powder using a syringe (ss-10sz, Terumo
139 Corporation, Tokyo, Japan), and the mixture was subsequently kneaded for 15 min.
140 Granulation was performed using a rotating squeeze-type granulator with a sieve size of 0.8
141 mm (Hata Iron Work Co., Ltd. Kyoto, Japan). The granules were dried using an oven at 50 °C
142 for 12 h or longer. After drying, they were sieved through a 1680 µm sieve and the granules
143 that did not pass through a 350 µm sieve were collected. This process was repeated several
144 times, and the resultant granules were then mixed uniformly and subjected to experimental
145 analyses.

146

147 ***2.4. Tablet preparation and determination of the pressure transmission ratio and the***
148 ***ejection force***

149 A total of 8 g of mixture composed of granules, L-HPC, and lubricant (Mg-St or
150 CS-LA) was mixed in a polyethylene bag manually at a rate of 120 times/min for 2 min. The
151 lubricant concentrations were 0.5%, 1.0%, 2.0%, and 3.0%, which are concentrations often

152 used in the pharmaceutical industry, and the L-HPC concentration was 2.5%. The tablets were
153 prepared using a single punch tablet machine (TabAll N30-EX, Okada Seiko Co. Ltd., Tokyo,
154 Japan) with a diameter of 8 mm (flat-faced punch) and the weight of each tablet was 200 mg.
155 Tablet preparation was carried out under a relative humidity (RH) of $60 \pm 5\%$ at room
156 temperature. The tableting speed was 10 tablets/min and the tableting force was 10 kN. The
157 pressure transmission ratio was calculated as the ratio of the maximum pressure of the lower
158 punch to the maximum pressure of the upper punch. The ejection force (i.e. the force applied
159 to the lower punch during tablet ejection) was measured by a 2 kN load cell. Upper punch
160 force, lower punch force, and ejection force data were recorded using DAATSU II software
161 (Okada Seiko Co. Ltd., Tokyo, Japan).

162

163 ***2.5. Determination of tablet disintegration time and tensile strength***

164 Six tablets were selected at random from the tablets prepared, and the disintegration
165 tests were performed according to the JP16th disintegration test using a disintegration tester
166 (Toyama Sangyo Co., Ltd., Osaka, Japan). Distilled water at 37 ± 0.5 °C was used as the test
167 fluid. For the tensile strength measurements, ten tablets were selected at random. The tensile
168 strength of the tablets was determined by diametrical compression tests, which were
169 performed using a hardness meter with a 300 N load cell (precision of 1 N, PC-30, Okada

170 Seiko Co., Ltd.) to accurately measure the maximal diametrical crushing force (F (N)). The
171 diameter and the thickness of tablets were measured using a micrometer with a precision of
172 0.01 mm (500–302 CD-20, Mitutoyo Corporation, Kanagawa, Japan). The tensile strength (σ)
173 (MPa) was calculated by the following formula (Fell and Newton, 1970):

$$\sigma = \frac{2F}{\pi Dt} \quad (1)$$

174 where D (mm) and t (mm) are the diameter and the thickness of the tablets, respectively.

175

176 **2.6. Dissolution study**

177 The dissolution of APAP from tablets was examined in accordance with the paddle
178 method listed in JP16th. The test solutions were 900 mL JP16th first fluid (pH 1.2) and JP16th
179 second fluid (pH 6.8) at 37.0 ± 0.5 °C, and the paddle rotation speed was 50 rpm. At 0.5, 1.0,
180 2.5, 5.0, 7.5, 10, 15, 20, 30, 40, 50, 60, 70, 80, 90, 100, and 120 min, samples (5 mL) were
181 withdrawn. The solution was then filtered through a membrane filter (cellulose acetate, pore
182 size: 0.45 μ m, Toyo Roshi Kaisha Ltd., Tokyo, Japan). Then, the absorbance was determined
183 at 243 nm with a spectrophotometer (UV-mini, Shimadzu Corporation, Kyoto, Japan), and the
184 APAP concentration was calculated from the absorbance of a standard solution.

185

186 **2.7. Adhesive properties of lubricants**

187 A total of 5 g of glass beads with particle diameters from 500–610 μm and Mg-St or
188 CS-LA were mixed in a vial at a rate of 35 rpm for 15 min. The lubricant concentration was 3%.
189 Images of the mixtures were taken with a SEM, following similar protocols as mentioned above
190 (Section 2.2).

191

192 **2.8. Measurement of the contact angle of water on the tablet surface and water**

193 ***penetration time***

194 A 10 μL droplet of phosphate buffer (pH 6.8) was placed on the surface of a 50%
195 APAP tablet containing Mg-St or CS-LA, and an image of the droplet was taken with a digital
196 camera. The static apparent contact angle was measured by the $\theta/2$ method. Additionally, the
197 time required for the phosphate buffer to completely penetrate into the tablets was determined.

198

199 **2.9. Statistics**

200 Statistical analyses were performed using the Student's t-test; a probability value of p
201 < 0.05 was considered statistically significant.

202

203 **3. Results and discussion**

204 **3.1. Physicochemical properties of the lubricants**

205 **Table 1** shows the mean particle sizes of Mg-St, CS, LA, and CS-LA. Lubricants are
206 generally considered to have a greater surface area and to provide better lubrication as the
207 particle size gets smaller (Leinonen et al, 1992; Baichwal and Augsburger, 1987). The particle
208 size of CS-LA (79.2 μm) is larger than that of Mg-St (11.5 μm). Additionally, according to SEM
209 observations (**Fig. 1C**), CS has a cubed and bulky structure with a smooth surface, LA has thin
210 plates, and CS-LA, prepared in this study, has a scaly cubed and bulky structure. Previously, it
211 has been reported that Mg-St forms thin layers and plates which are scaly and laminar, and
212 these thin, scaly, and laminar plates might be easily broken and become extensively coated
213 onto the surface of the granules, giving good lubrication performance (Uchimoto et al, 2011).
214 Therefore, it is possible that CS-LA might be considered to have less lubrication properties,
215 compared with Mg-St, because of the smaller surface area of the particles.

216

217 **3.2. Effects of CS-LA concentration on lubricant properties**

218 The relationship between the concentrations of CS-LA and Mg-St and the pressure
219 transmission ratio is shown in **Fig. 2A**. Although the average pressure transmission ratio was
220 65% when granules without lubricant were compressed, it was found that when Mg-St was

221 mixed with those granules at a concentration of 0.5%, the pressure transmission ratio was
222 91.8%. As the concentration increased, the pressure transmission ratio also gradually
223 increased at concentrations of 1–3%, and the pressure transmission ratio reached the
224 maximal value, 93.2%, at a concentration of 3%. For CS-LA, the pressure transmission ratio at
225 a concentration of 0.5% was approximately 80%, which was significantly lower than that of
226 Mg-St at the same concentration ($p < 0.01$). However, as the CS-LA concentration increased,
227 the pressure transmission ratio appreciably increased, and the pressure transmission ratio
228 reached the maximum value, approximately 90%, at a concentration of 3%. The relationship
229 between lubricant concentration and ejection force is shown in **Fig. 2B**. When granules
230 without lubricant were compressed, the average ejection force was 1875 N, and tableting
231 problems, such as capping and lamination, occurred. By addition of 0.5% Mg-St or CS-LA, the
232 ejection force was significantly reduced. When Mg-St was added, the ejection force at a
233 concentration of 0.5% was approximately 250 N, and this significantly decreased to less than
234 100 N when the concentration reached 1%. For CS-LA, the ejection force at a concentration of
235 0.5% was approximately 430 N, which was significantly higher than that of Mg-St at the same
236 concentration ($p < 0.01$). However, the ejection force also significantly decreased, similar to
237 Mg-St, at concentrations of 1–3%. Previously, an ejection force value of less than 100 N was
238 found to correspond to good lubrication properties (Uchimoto et al, 2013). Taken together,

239 these pressure transmission ratio and ejection force data demonstrate that CS-LA, at
240 concentrations over 1%, has similar lubrication properties as Mg-St.

241

242 **3.3. Effects of CS-LA concentration on tablet properties**

243 **Figure 3A** shows the effects of the lubricant concentration on the tensile strength of
244 tablets. The tensile strength with Mg-St was 2.0 MPa at a concentration of 0.5%. As the
245 concentration was increased, the tensile strength gradually decreased, and the value at a
246 concentration of 3.0% was reduced to 1.54 MPa. With CS-LA, it was found that the tensile
247 strength was higher than that of Mg-St for every concentration ($p < 0.01$); the values at all
248 concentrations ranged from 2.24 to 2.4 MPa, indicating that the tensile strength was not
249 concentration dependent with CS-LA. **Fig. 3B** shows the tablet disintegration time versus the
250 concentration of the lubricants. While the tablets disintegrated in 20 min at a concentration of
251 0.5% Mg-St, the disintegration time was significantly prolonged as the concentration of Mg-St
252 increased. Conversely, the disintegration time was similar for all concentrations of CS-LA
253 tested ($p < 0.01$, compared with Mg-St at the same concentration). Therefore, it was
254 concluded that CS-LA does not cause any adverse effects with regard to tablet properties.

255 Generally, Mg-St has high water repellency, and as an increase in the concentration
256 and/or a prolongation in the mixing time of Mg-St, a hydrophobic film is formed on the particle

257 and/or tablet surfaces. As a result, the disintegration time is prolonged accordingly (Uchimoto
258 et al, 2011; Shah and Mlodozieniec, 1977; Ragnarsson et al, 1979; Bolhuis et al, 1981).

259 Considering these reports, we assumed that CS-LA would have low water repellency
260 compared with Mg-St, and be unable to spread well, because of the bulky structure of CS-LA
261 as shown in **Fig. 1C**, and consequently no hydrophobic film would be observed to form on the
262 particle or tablet surfaces. To compare the surface coverage of the lubricants on granules, the
263 adhesion of the lubricants to glass beads as model granules was studied (**Fig. 4A**). When 3%
264 Mg-St or CS-LA was mixed with glass beads, a kind of Mg-St film, generated by mechanical
265 shearing of the Mg-St particles during mixing, was formed on the surface of the glass beads;
266 whereas, despite the same mixing conditions, no coverage on the surface of the glass beads
267 was observed with CS-LA. This difference in extensibility between Mg-St and CS-LA might be
268 explained by their morphological properties. Because Mg-St has thin layers and plates which
269 are scaly and laminar as mentioned above, these thin and laminar plates might be easily
270 broken and become extensively coated onto the surface of the glass beads. In contrast, SEM
271 revealed CS-LA to be composed of comparatively fine, bulky, and irregular shaped particles
272 (**Fig. 1C**), thus complete coverage of the surface of the glass beads was not observed with
273 CS-LA (**Fig. 4A**). Additionally, significant increases in the contact angle and prolonged water
274 penetration times were observed for Mg-St in a concentration-dependent manner, whereas

275 changes in these parameters were not observed with changes in the concentration of CS-LA
276 (**Fig. 4B and 4C**), suggesting that based on the differences in extensibility between Mg-St and
277 CS-LA, the wettability for Mg-St tablets is quite poor, while for CS-LA wettability does not
278 change even when the concentrations are increased. In addition, as another reason, we
279 speculated that because, from a structural perspective, CS-LA is a kind of surfactant, the
280 wettability might be increased. Therefore, these results demonstrate that CS-LA may give a
281 better tablet performance than Mg-St.

282

283 **3.4. Effects of CS-LA concentration on dissolution behavior**

284 The drug dissolution rates of an APAP tablet containing each lubricant are shown as
285 plots in **Figs. 5A and B**. When Mg-St was mixed with APAP granules at a concentration of
286 0.5%, it took approximately 120 min until 80% of the APAP dissolved in both pH 1.2 and pH 6.8
287 solutions (**Fig. 5A**). Additionally, as the concentration of Mg-St was increased, a retardation of
288 dissolution was observed. In particular, when the concentration of Mg-St was 3.0%, only 70%
289 and 65% APAP dissolved after 120 min, in pH 1.2 and 6.8 solutions, respectively, indicating
290 that as the concentration of Mg-St increased, Mg-St retarded the dissolution of APAP from the
291 tablets as described previously (Levy and Gumtow, 1963; Murthy and Samyn, 1977; Uchimoto
292 et al, 2011). However, when CS-LA was mixed with APAP granules, at all tested

293 concentrations, the times for dissolution of 80% of the APAP were found to be under 120 min
294 for both pH 1.2 and 6.8 solutions (**Fig. 5B**), strongly suggesting that the dissolution rate did not
295 change even when the concentration of CS-LA was increased. This effect is also explained by
296 the surfactant structure of CS-LA, meaning that because of the presence of CS-LA, the
297 wettability might be increased and retarded dissolution is not observed. Additionally, fast APAP
298 release from CS-LA tablets was observed at pH 1.2 compared with pH 6.8. This is explained
299 by the remaining amino groups in CS-LA, which are ionized under low pH conditions allowing
300 CS-LA to dissolve, resulting in the fast release of APAP.

301

302 ***3.5. Effects of CS-LA components on lubricant and tablet characteristics***

303 It was found that CS-LA shows good lubrication properties during compression and
304 does not cause any adverse tablet characteristics. To investigate what components of CS-LA,
305 CS, LA, as well as the physical mixture (PM) of CS and LA, are involved in these properties,
306 we determined the effect of CS-LA components on the pressure transmission ratio and the
307 ejection force as well as the tensile strength, disintegration time, and dissolution behavior
308 (**Figs. 6 and 7**). The concentration of CS-LA components was fixed at 3.0%, because CS-LA
309 showed good lubrication properties at 3% (**Fig. 2**). As shown in **Fig. 6A**, the values of the
310 pressure transmission ratios for CS, LA, and PM were 79.7%, 89.0%, and 82.3%, respectively.

311 The ejection force values for CS, LA, and PM were 369, 153, and 163 N, respectively (**Fig. 6B**).

312 Because the pressure transmission ratio and ejection force values for LA were almost the

313 same as that for 3% CS-LA, it is thought that LA is involved in the superior lubrication

314 properties of CS-LA. Although PM gave almost the same value for the pressure transmission

315 ratio as that observed for 3% CS-LA, the value of the ejection force was slightly higher,

316 indicating that the conjugation of CS with LA might be important for lubrication performance.

317 The values for tensile strength for CS-LA and CS were 2.23 and 2.70 MPa, respectively, while

318 the values for LA and PM were 1.89 and 1.86 MPa, respectively (**Fig. 6C**), suggesting that

319 owing to the lower extensibility of CS-LA and CS, these compounds did not completely cover

320 the surface of the granules, allowing cohesion of the granules, and resulting in a high tensile

321 strength compared with LA and PM. The disintegration time for CS-LA was longer than 10 min,

322 while the times for CS, LA, and PM were under 3 min (**Fig. 6D**), indicating that even if

323 hydrophilic coverage on the granules occurred for LA and PM, as mentioned above (**Fig. 6C**),

324 water penetration into the tablets was not prevented and rapid disintegration occurred. Finally,

325 the drug dissolution rates of an APAP tablet containing each component are shown as plots in

326 **Fig. 7**. In a pH 1.2 medium, the times for dissolution of 80% of the APAP from CS, LA, and PM

327 tablets were found to be under 40 min (**Fig. 7A**), indicating that all formulations showed rapid

328 drug release compared with CS-LA. In a pH 6.8 medium, similar tendencies were observed

329 (Fig. 7B). Additionally, only drug release in CS-LA occurred in a pH-dependent manner,
330 meaning that in the chitosan salt, conjugation of CS with LA caused more rapid drug release in
331 an acidic medium because of the amino acid residues remaining in the structure.

332 Although the CS-LA components CS, LA, and PM themselves gave good lubrication or
333 good tablet properties, only the CS salt (conjugation of CS with LA) showed improvement in
334 both characteristics, indicating that CS-LA might be a good lubricant in the tableting process
335 as an alternative Mg-St.

336

337 **4. Conclusions**

338 Traditionally, Mg-St has been widely used as an inexpensive lubricant having excellent
339 lubrication effects, but it also has disadvantages, such as a reduction in tablet mechanical
340 strength and prolonged disintegration time. In this study, we determined whether CS-LA, a
341 newly developed CS salt, worked as a potential alternative lubricant to Mg-St. The lubrication
342 properties for CS-LA were examined, as well as the tablet properties, in comparison to those
343 of Mg-St. The pressure transmission ratio was significantly improved in a concentration-
344 dependent manner and the ejection force prominently decreased for CS-LA; the pressure
345 transmission ratio for 3% CS-LA was similar to that of Mg-St. Additionally, even when the
346 lubricant concentration was increased, adverse characteristics such as a reduction in tablet
347 mechanical strength, prolonged disintegration time, or retarded dissolution behavior were not
348 observed with CS-LA, unlike with Mg-St. Furthermore, as a result of the CS-LA components,
349 conjugation of CS with LA was found to be quite important for both lubricant and tablet
350 properties. Based on these results, CS-LA may be useful as an alternative lubricant to Mg-St.
351

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- 419
- 420

421 **Table**422 **Table 1.** The median diameter of Mg-St, CS, LA, and CS-LA

	Mg-St	CS	LA	CS-LA
Median diameter (μm)	11.5 \pm 5.7*	96.5 \pm 24.2 [#]	74.7 \pm 7.9 [#]	79.2 \pm 9.73 [#]

423 *: Data expressed as mean \pm S.D. ($n=3$). #: Data expressed as mean \pm S.D. ($n=20$).

424

425

426 **Figure legends**

427 **Figure 1. Chemical structures of Mg-St (A) and CS-LA (B), and SEM images of CS, LA,**
428 **and CS-LA (C).**

429 Each bar scale represents 50 μm (a), 50 μm (b) and 20 μm (c).

430

431 **Figure 2. Effect of Mg-St and CS-LA concentrations on the pressure transmission ratio**
432 **(A) and ejection force (B).**

433 The lubricant concentration ranged from 0.5% to 3.0%. Each point represents an average
434 value obtained from ten determinations (\pm S.D.). *, $p < 0.01$, compared with each lubricant at
435 the concentration of 0.5%.

436

437 **Figure 3. Effect of Mg-St and CS-LA concentrations on the tensile strength (A) and**
438 **disintegration time (B).**

439 The lubricant concentration ranged from 0.5% to 3.0%. Each point represents an average
440 value obtained from six determinations (\pm S.D.). *, $p < 0.01$, compared with Mg-St at the same
441 concentration.

442

443 **Figure 4. SEM images of the surface of glass beads treated with 3% Mg-St and CS-LA**
444 **(A), effect of Mg-St and CS-LA concentrations on contact angle (B) and water**
445 **penetration time into tablet (C).**

446 In (B) and (C), the lubricant concentration ranged from 0.5% to 3.0%. Each column represents
447 an average value obtained from four determinations (\pm S.D.). *, $p < 0.05$ and **, $p < 0.01$,
448 compared with each lubricant at the concentration of 0.5%.

449

450 **Figure 5. Effect of Mg-St and CS-LA concentrations on the dissolution behavior of**
451 **acetaminophen from tablets.**

452 (A) Mg-St and (B) CS-LA. Each point represents an average value obtained from three
453 determinations (\pm S.D.).

454

455 **Figure 6. Effect of CS-LA components on the lubricant and tablet properties.**

456 Each CS-LA component concentration was fixed at 3.0% and the Mg-St concentrations were
457 0.5% and 3.0%. (A) pressure transmission ratio, (B) ejection force, (C) tensile strength, and
458 (D) disintegration time. Each column represents an average value obtained from ten
459 determinations (A and B) and six determinations (C and D) (\pm S.D.).*, $p < 0.05$ and **, $p < 0.01$,
460 compared with CS-A at the concentration of 3.0%.

461

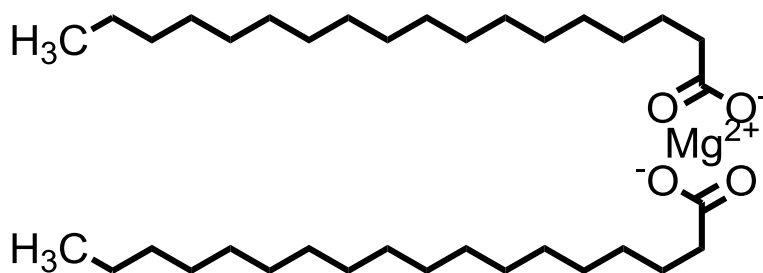
462 **Figure 7. Effect of Mg-St and CS-LA components on the dissolution behavior of**
463 **acetaminophen from tablets.**

464 (A) pH 1.2 medium and (B) pH 6.8 medium. Each point represents an average value obtained
465 from three determinations (\pm S.D.).

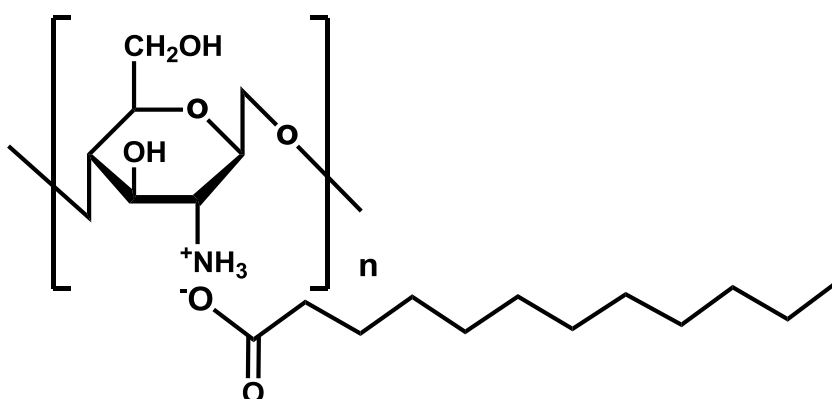
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Fig. 1.

A)



B)



C)

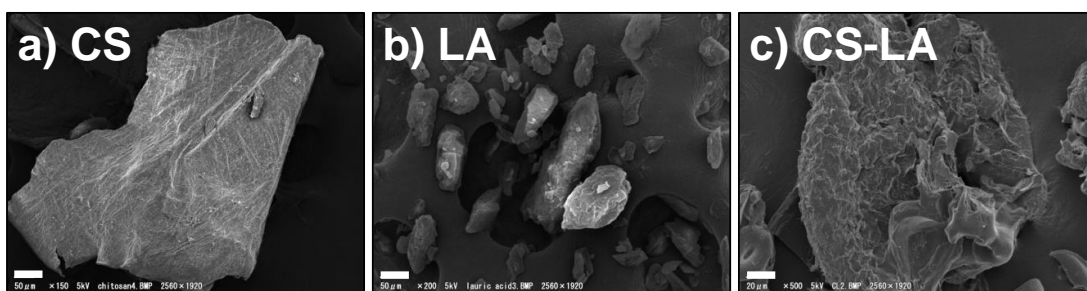
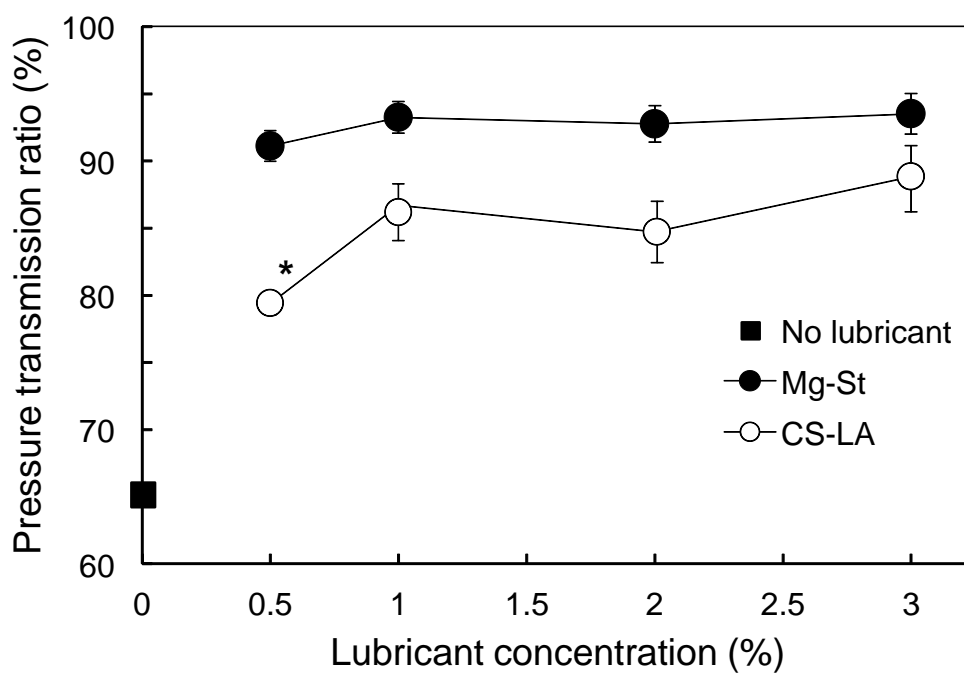


Fig. 2.

A)



B)

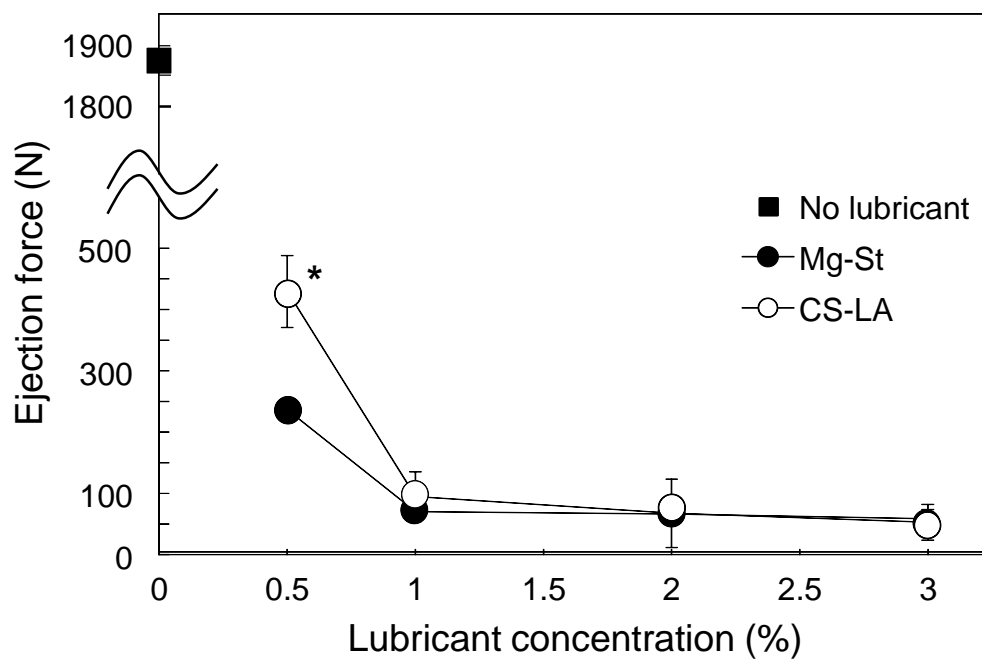
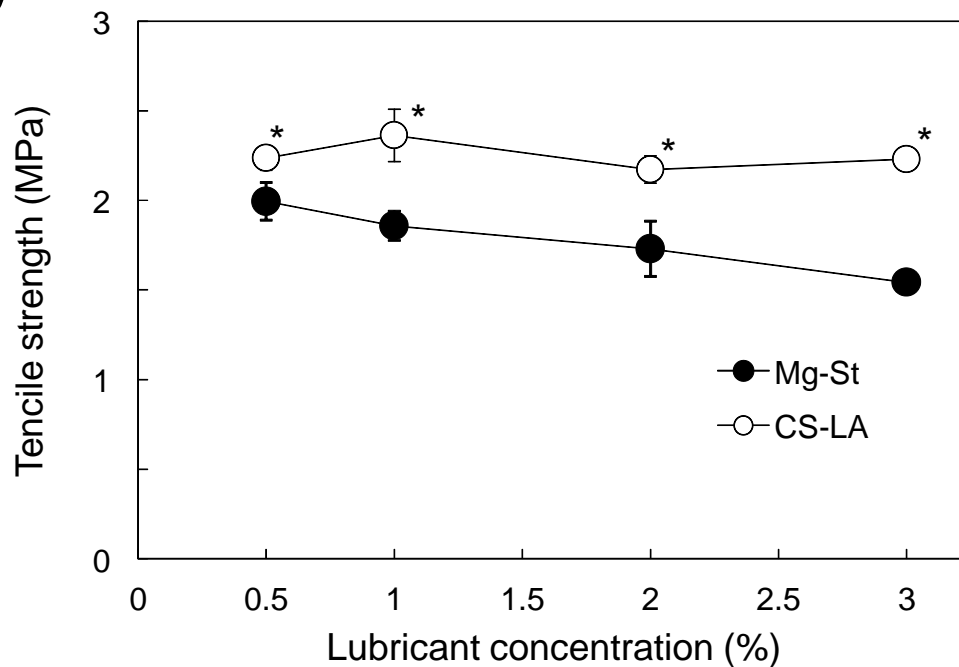


Fig. 3.

A)



B)

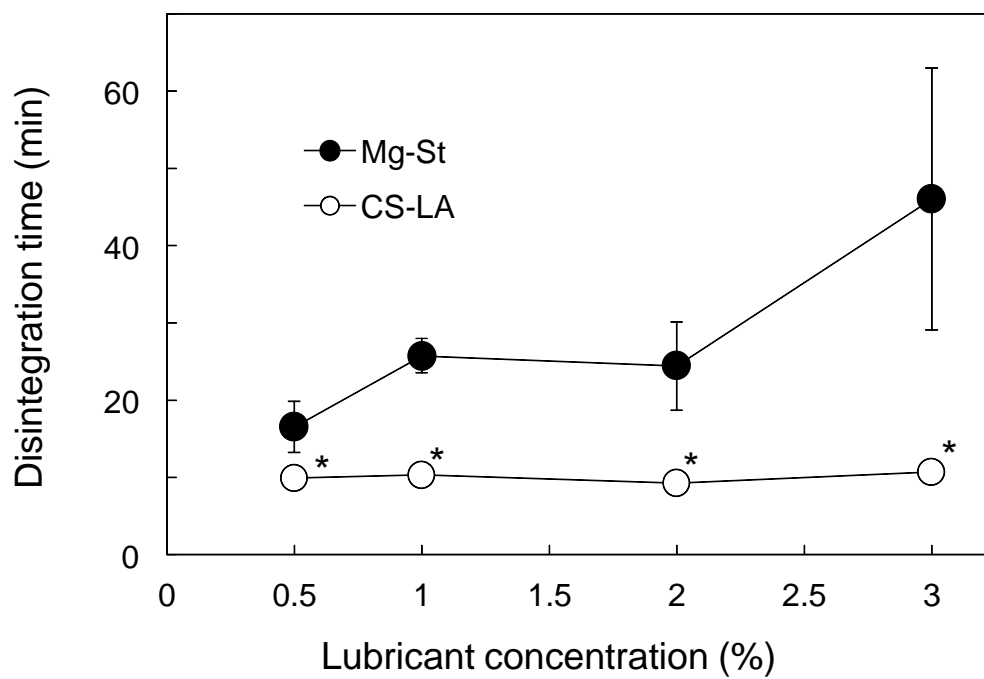
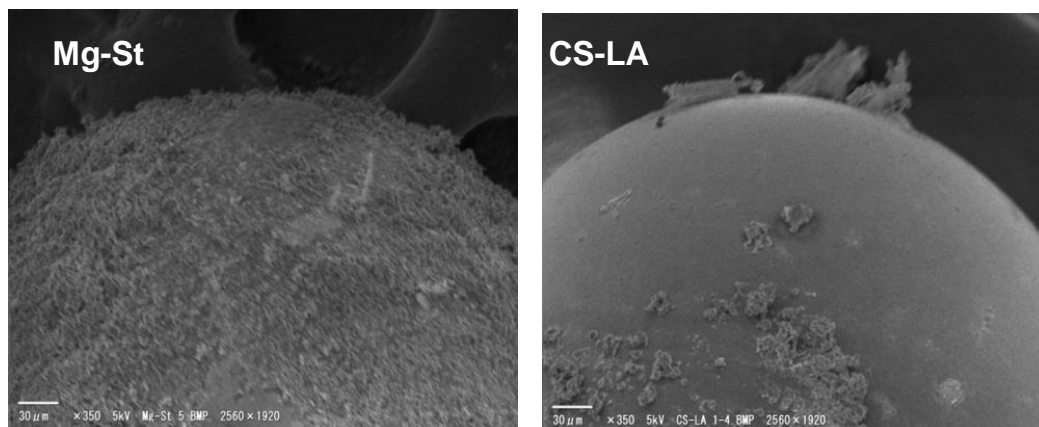
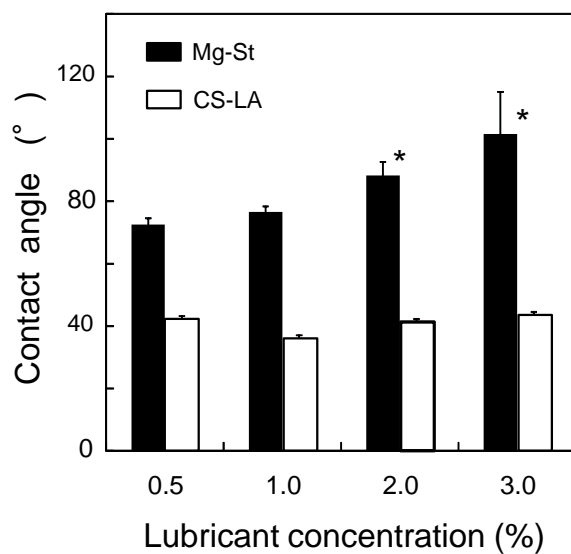


Fig. 4.

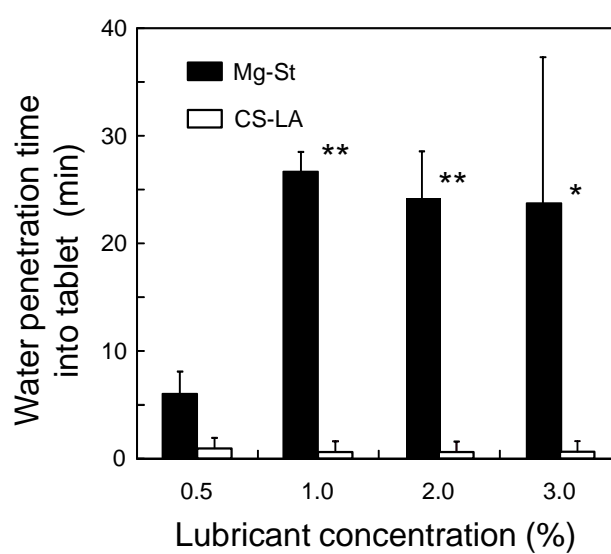
A)



B)



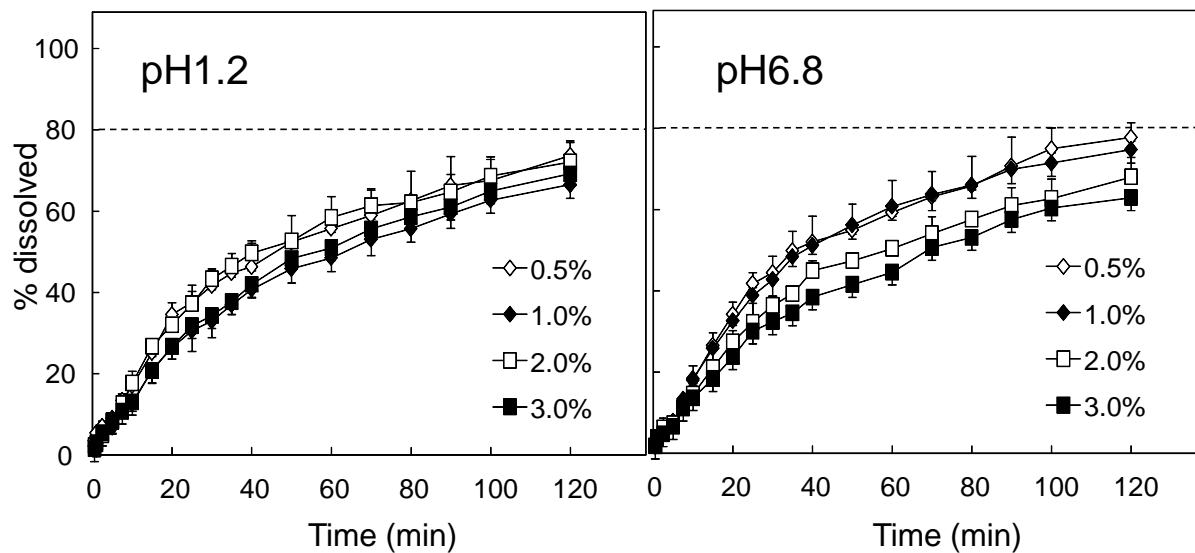
C)



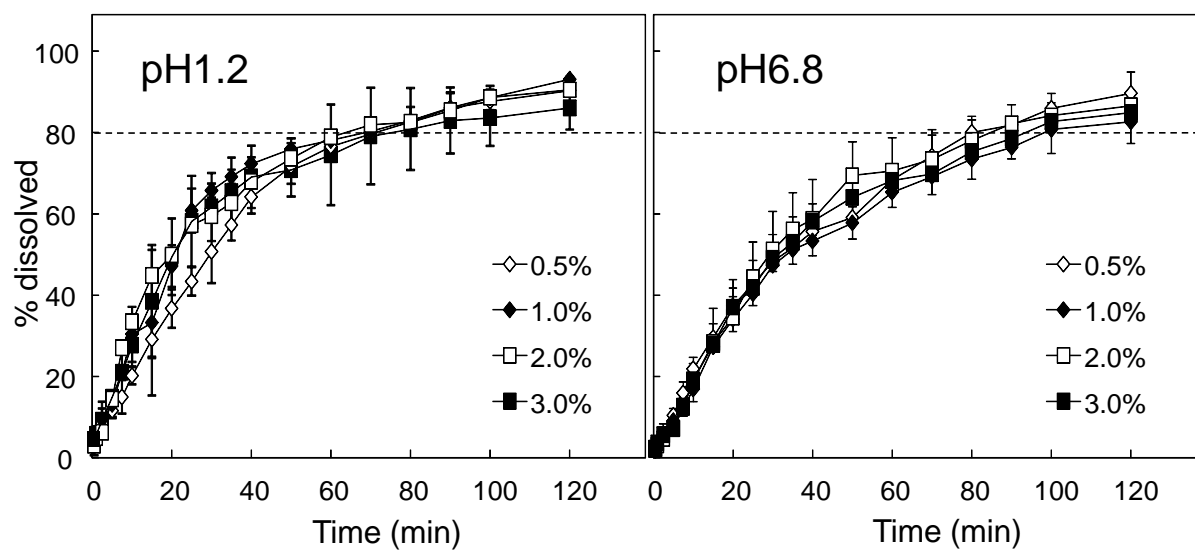
470

Fig. 5.

A) Mg-St

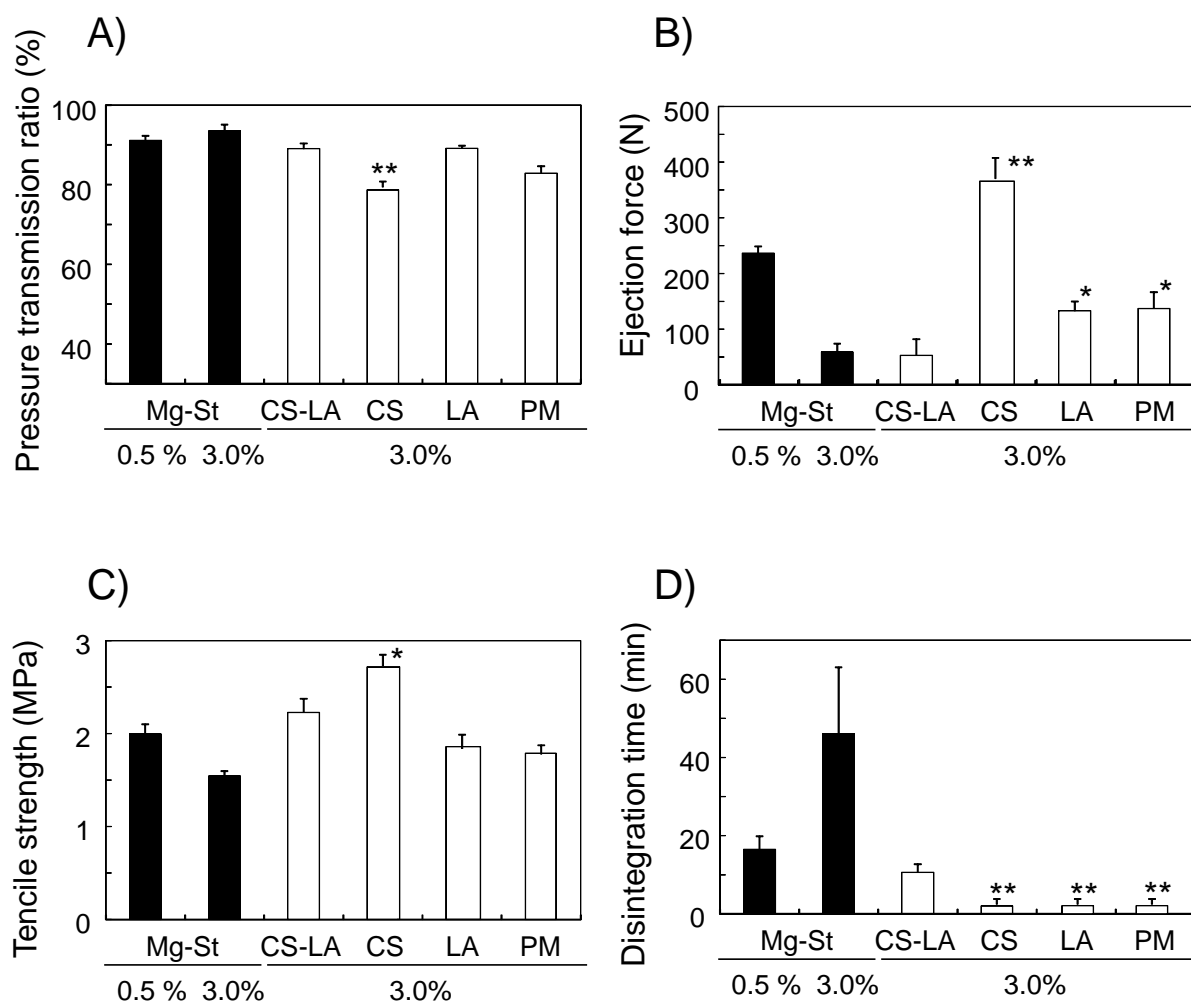


B) CS-LA



471

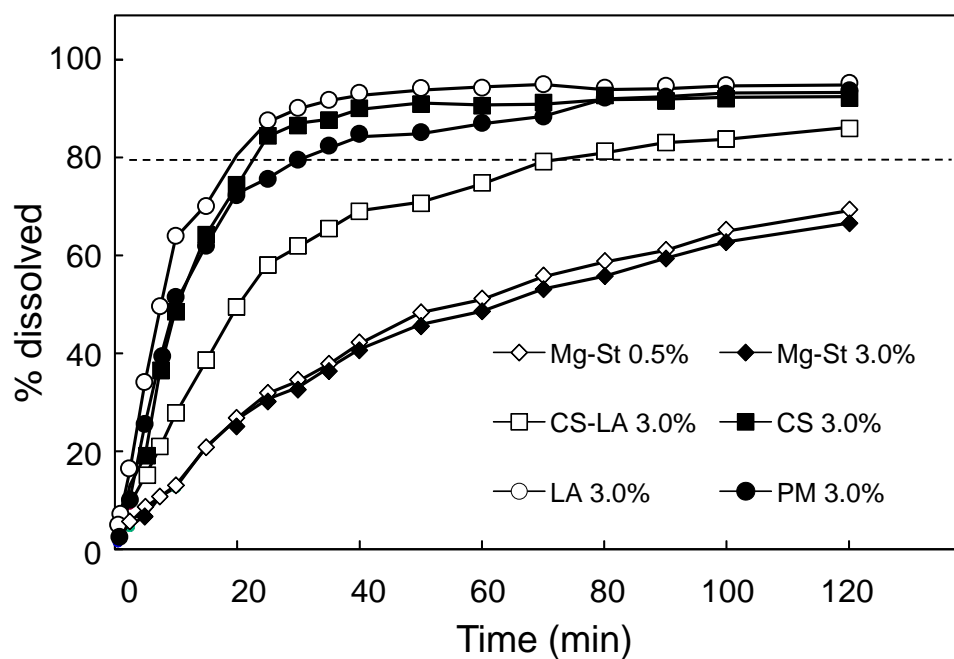
Fig. 6.



472

Fig. 7.

A) pH1.2



B) pH6.8

