

1 **Suppressed release of clarithromycin from tablets by crystalline phase transition of**
2 **metastable polymorph form I**

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16 Abbreviations: API, active pharmaceutical ingredient; CAM, clarithromycin; JP, Japanese
17 Pharmacopoeia; L-HPC, low-substituted hydroxypropyl cellulose; MCC, microcrystalline
18 cellulose; CS, colloidal silica; Mg-St, magnesium stearate; PXRD, powder X-ray diffraction.

21 **Abstract**

22 The pharmaceutical properties of clarithromycin (CAM) tablets containing the metastable
23 form I of crystalline CAM were investigated. Although the dissolution rate of form I was higher
24 than that of stable form II, the release of CAM from form I tablet was delayed. Disintegration
25 test and liquid penetration test showed that the disintegration of the tablet delayed due to the
26 slow penetration of a buffer solution into form I tablet. Investigation by scanning electron
27 microscopy revealed that the surface of form I tablet was covered with fine needle-shaped
28 crystals following exposure to the buffer. These crystals were identified as form IV crystals by
29 powder X-ray diffraction. The phenomenon that release of CAM from tablet was inhibited by
30 fine crystals spontaneously formed on the tablet surface could be applied to the design of
31 sustained release formulation systems with high CAM contents by minimizing the amount of
32 functional excipients.

33

34 **Keywords:** Polymorphism, hydration, tablet, sustained-release.

35

36 INTRODUCTION

37 Clarithromycin (CAM; MW 748.0; $pK_a = 8.5$) is a fourteen-membered macrolide antibiotic
38 of a broad spectrum against various bacteria. CAM is widely used for the clinical treatment of
39 various infectious diseases and the eradication of *Helicobacter pylori*. CAM is commercially
40 available in various dosage forms, including tablets and a dry syrup¹. In addition, several
41 gastroretentive dosage forms of CAM have recently been developed to enhance the eradication
42 efficacy of CAM towards *H. pylori* in the stomach^{2,3}. However, concerns have been raised
43 regarding the volumes of these dosage forms, which contained large amounts of excipients for
44 their necessary functionalities. Patients would find large dosage forms difficult to swallow,
45 which could reduce patient compliance.

46 Several novel functional dosage forms have been designed that use not excipients but
47 amorphous or metastable crystalline forms of the active pharmaceutical ingredients (APIs). The
48 use of the amorphous form of an API can not only lead to improve the solubility properties of
49 poorly soluble APIs, but can also control the release rate of API from dosage form. For a recent
50 example, the release of the capecitabine from a formulation containing the amorphous form was
51 suppressed compared with its release from a formulation containing the stable crystalline form.⁴
52 This suppression was attributed to a phase transition of the drug to a gel when exposed to an
53 external solution. A similar suppression process had also been reported for the release of CAM
54 under acidic condition.⁵ Taken together, the report implies that specific strategies could be
55 designed for the sustained-release of APIs from formulations containing high API and minimum
56 contents of special functional excipients.

57 Numerous polymorphic crystalline forms and pseudo-polymorphic solvate forms of CAM
58 have been reported in the literature⁷⁻¹⁵. Form II is most stable and is used clinically in
59 formulations. Metastable form I can be obtained by the vacuum-drying of ethanol solvate form

60 0 and readily converted to hydrate form IV under high humidity conditions¹⁷. Although form I
61 has been fully characterized by crystallographic analysis¹⁸, the pharmaceutical properties of
62 formulations containing form I remain unclear. In this study, the pharmaceutical properties of
63 tablets containing form I have been compared with those of tablets containing form II, to
64 examine the possibility of developing a novel sustained-release strategy of CAM using form I.

65

66 **MATERIALS AND METHODS**

67 **Materials**

68 CAM (purity > 99%) was purchased from Shiono Chemicals (Tokyo, Japan).
69 Microcrystalline cellulose CEOLUS[®] PH101 (MCC), low-substituted hydroxypropyl cellulose
70 LH-21 (L-HPC) and colloidal silica AEROSIL[®] 200 (CS) were kindly provided by Asahi Kasei
71 (Tokyo, Japan), Shin-Etsu Chemical Co. Ltd (Tokyo, Japan) and Nippon Aerosil Co., Ltd
72 (Tokyo, Japan), respectively. All of the reagents used were of the highest grade commercially
73 available.

74

75 **Preparation of CAM Tablets and Discs**

76 Form 0 was prepared by the recrystallization of CAM from ethanol. Form I was prepared
77 by vacuum-drying form 0 for 24 hours at 25°C, followed by the sieving through a 177- μ m mesh.
78 Form II was prepared by heating form 0 at 150°C for 1.5 hours, followed by the sieving through
79 a 177- μ m mesh. Form IV was prepared by storing form I hermetically with saturated potassium
80 sulfate solution (relative humidity 97%) at 25°C for 24 hours.

81 Recipes of CAM tablets are summarized in Table 1. CAM, MCC, L-HPC and CS, total 10
82 g, were put into a polyethylene bag and mixed by shaking for 10 min. Magnesium stearate
83 (Mg-St) was added into the bag and mixed for a further 2 min. The mixed powders were then

84 tableted by a TabAll N30-EX single punch tablet machine (Okada Seiko Co., Ltd, Tokyo, Japan)
85 using a flat-faced punch 8 mm in diameter and a tableting force of 10 kN.

86 Powders of CAM (250 mg) were compressed into discs of 13 mm in diameter using an
87 oil-press tableting machine (JASCO Corporation, Tokyo, Japan) with a tableting force of 10 kN.
88 The discs were fixed into cylindrical holders made of polyvinyl chloride and used to determine
89 the dissolution rates using the static disc method.

90

91 **Dissolution Test of Tablets and Discs**

92 Dissolution tests were performed according to the paddle method described in the Japanese
93 Pharmacopoeia XVI (JP XVI). The dissolution media was 900 mL of 50 mM sodium potassium
94 phosphate buffer pH6.5 according to JP XVI. Temperature was kept at $37.0 \pm 0.5^{\circ}\text{C}$ and a
95 paddle speed was 50 rpm. Aliquots of the dissolution medium were removed at predetermined
96 time intervals. Each aliquot was then filtered through a $0.20\ \mu\text{m}$ membrane filter, and CAM
97 concentration was quantified by HPLC⁶.

98

99 **Solubility Measurement**

100 Excess powders of forms I, II or VI were mixed with phosphate buffer pH 6.5, in triplicates,
101 and shaken at $37.0 \pm 0.5^{\circ}\text{C}$. Aliquots of forms II and IV mixtures were removed after 1.0 and
102 1.5 hours. For form I, aliquots were removed every 30 s for 2 min, and then at 5, 10 and 30 min.
103 These removed aliquots were immediately filtered and diluted by 10-fold with the mobile phase,
104 and quantified by HPLC. Dissolved CAM concentrations of forms II and IV samples were
105 comparable at 1.0 and 1.5 hours and the values at 1.5 hour were regarded as their solubilities.
106 The highest concentration of form I was found in the solution at 60 s, and it was regarded as the
107 solubility of form I.

108

109 **Measurement of Liquid Penetration Rates**

110 Liquid penetration time was determined by measuring the time required for 10 μL of
111 phosphate buffer pH 6.5 to be completely absorbed into a tablet after being placed onto the
112 tablet surface. The liquid penetration rate ($\mu\text{L}/\text{min}$) was calculated by dividing the volume of the
113 buffer by the penetration time.

114

115 **Disintegration Test**

116 Disintegration tests were performed according to the method described in the JP XVI. The
117 times taken for the complete disintegration of the tablets in phosphate buffer pH 6.5 at $37.0 \pm$
118 0.5°C were measured using an NT-1HM disintegration tester (Toyama Sangyo Co. Ltd., Osaka,
119 Japan). Tablets with retarded disintegration (recipe I-3 in Table 1) were removed from the
120 disintegration test buffer after 15 min, and their surfaces were immediately scraped and
121 analyzed by PXRD using a Mini Flex II X-ray diffractometer (Rigaku Corporation, Tokyo,
122 Japan. Their surfaces were also investigated by scanning electron microscopy (SEM) using a
123 JSM-5310LV (JEOL Ltd, Tokyo, Japan) after drying for 24 hours and sputter-coated with
124 platinum. As comparison, the morphology of form I powders following their contact with the
125 phosphate buffer were observed using an optical microscope.

126

127 **RESULTS AND DISCUSSION**

128 The dissolution test showed that almost 100% of the CAM had been released from forms II
129 and IV tablets containing L-HPC larger than 9 mg (recipes II-3, 4, and IV) after 30 min,
130 whereas only 10% of the CAM had been released from form I tablet (recipe I-4) at the same
131 time period, even though L-HPC was included as much as 5% (w/w) (Fig. 1(a)). In contrast, the

132 dissolution rate of form I was $553 \text{ mg mL}^{-1} \text{ min}^{-1}$, which was approximately 10-fold higher than
133 those of form II, $54.4 \text{ mg mL}^{-1} \text{ min}^{-1}$, and form IV, $56.8 \text{ mg mL}^{-1} \text{ min}^{-1}$ (Fig. 1(b)). The
134 solubility of form I, $11.7 \pm 0.1 \text{ mg mL}^{-1}$, was highest among three crystal forms, and the
135 solubility of form IV, $1.49 \pm 0.05 \text{ mg mL}^{-1}$, was slightly higher than that of form II, 1.13 ± 0.05
136 mg mL^{-1} , in spite that form IV is hydrate crystal. These results indicated the release of CAM
137 from form I tablet was suppressed compared with the release from forms II and IV tablets.
138 Disintegration test showed that the suppressed release of CAM from form I tablet was caused by
139 the retarded disintegration of the tablet despite the inclusion of disintegrant L-HPC (Table 1).
140 L-HPC accelerates the disintegration of tablets by swelling, so an external solution must
141 penetrate the tablets so that it can contact with the L-HPC. The liquid penetration rate of form I
142 tablet was much slower than those of forms II and IV tablets (Table 1), suggesting that the
143 suppression of liquid penetration into form I tablet caused the suppression of CAM release from
144 the tablet.

145 The liquid penetration into form I tablets was presumed to be suppressed by morphological
146 or polymorphic changes in the structure of form I. SEM investigation revealed that after
147 exposure to phosphate buffer, needle-shaped crystals of approximately $1 \mu\text{m}$ thick and $20 \mu\text{m}$
148 long had covered the surface of form I tablet of recipe I-3 (Fig. 2(a) and (b)). The needle-shaped
149 microcrystals were also observed immediately when form I crystals were in contact with the
150 phosphate buffer (Fig. 2(c) and (d)). PXRD profile of the surface of the form I tablet exposed to
151 the phosphate buffer contained diffraction peaks characteristic of form IV (Fig. 2(e)). This
152 indicated that the needle-shaped crystals that appeared on the surface of the form I tablet were
153 form IV crystals.

154 Although the formation of form IV crystals should have also occurred in form I disc used
155 in the static disc method, the dissolution rate from form I disc was much higher than that of

156 form IV, suggesting that the decrease in the dissolution rate caused by the formation of form IV
157 on the surface of form I disc was minor. Because form I tablets contained insoluble and slightly
158 swellable MCC, the stirring of the solution at the close proximity of the tablet surface might be
159 less efficient than that at the surface of static discs without MCC. This would have resulted in
160 the less disruption of the layer of saturated CAM solution and the efficient growth of form IV
161 crystals at the tablet surface.

162 Taken together, these results implied that the suppression of CAM release from form I
163 tablet was caused by the following mechanism (Fig. 3). Form I crystals on the tablet surface
164 would rapidly dissolve when the tablet was exposed to an external solution, which would lead to
165 the formation of a thin layer of saturated CAM solution in close proximity of the surface of
166 tablet. At the same time, crystal nuclei of form IV would form all over the surface of tablet
167 through the pseudo-polymorphic transition of form I, because the surface of tablet in this case
168 would effectively mimic that of a highly humid environment. Because the solubility of form IV
169 is much lower than that of form I, the crystal nuclei of form IV would then grow into
170 needle-shaped microcrystals in the saturated CAM solution covering the surface of tablet, and
171 these form IV microcrystals would ultimately grow to cover the surface of tablet and prevent the
172 solution from penetrating into the tablet.

173

174 **CONCLUSION**

175 When a CAM tablet consisting of form I crystals was exposed to external solution, fine
176 needle-shaped form IV crystals formed spontaneously and covered the tablet surface. This
177 coating of form IV crystals prevented the solution from penetrating into the tablet, which retard
178 the disintegration of tablet and suppress the release of CAM. This phenomenon could
179 potentially be applied to the design of new sustained-release strategies for the formulation of

180 smaller tablets or tablets containing high CAM contents, because no additional excipients would
181 be required to provide the sustained-release strategies.

182

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- 204

TABLE**Table 1.** Recipes for the CAM tablets, and results of disintegration test and liquid penetration test

Recipe	Amount of API and excipients per tablet (mg)						Disintegration time (min) ^a	Liquid penetration rate (μL/min) ^a	
	CAM	MCC	L-HPC	CS	Mg-St	Total			
I-1	Form I	200	94	0	3	3	300	> 480	
I-2	Form I	200	91	3	3	3	300	> 480	
I-3	Form I	200	85	9	3	3	300	> 480	1.0 ± 0.1
I-4	Form I	200	79	15	3	3	300	> 480	
II-1	Form II	200	94	0	3	3	300	17.9 ± 4.5	
II-2	Form II	200	91	3	3	3	300	4.3 ± 1.5	
II-3	Form II	200	85	9	3	3	300	1.3 ± 0.2	22.9 ± 5.3
II-4	Form II	200	79	15	3	3	300	1.3 ± 0.1	
IV	Form IV	200	85	9	3	3	300	3.5 ± 0.2	22.5 ± 2.4

^a Each value represents the mean ± S.D. (n=3–6).205
206

207 **FIGURE CAPTIONS**

208

209 **Figure 1.** Dissolution profiles of CAM, (a) from tablets and (b) from static discs. Each point
210 represents the mean \pm S.D. ($n=3$).

211

212 **Figure 2.** (a) SEM images of the surface of form I tablet (recipe I-3) under dry condition and (b)
213 after the exposure to phosphate buffer pH 6.5. (c) Form I crystals under dry condition and (d) 3
214 s after exposure to the phosphate buffer. (e) Powder X-ray diffraction profiles of form I crystals
215 (i), surface of the tablet (recipe I-3) after exposure to the phosphate buffer (ii), and form IV
216 crystals (iii).

217

218 **Figure 3.** Schematic diagram of the inhibition mechanism of solution penetration into form I
219 tablets.

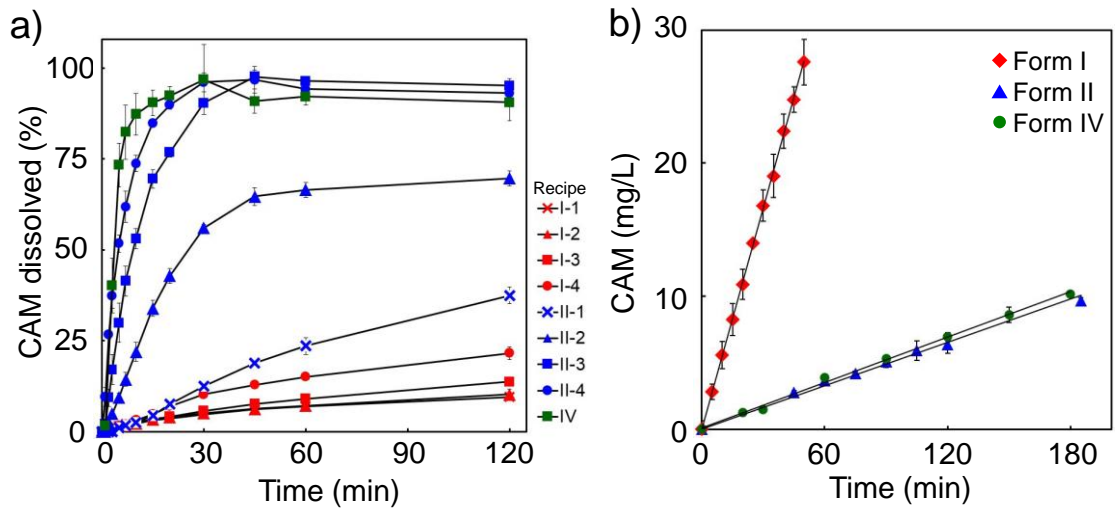


Fig. 1

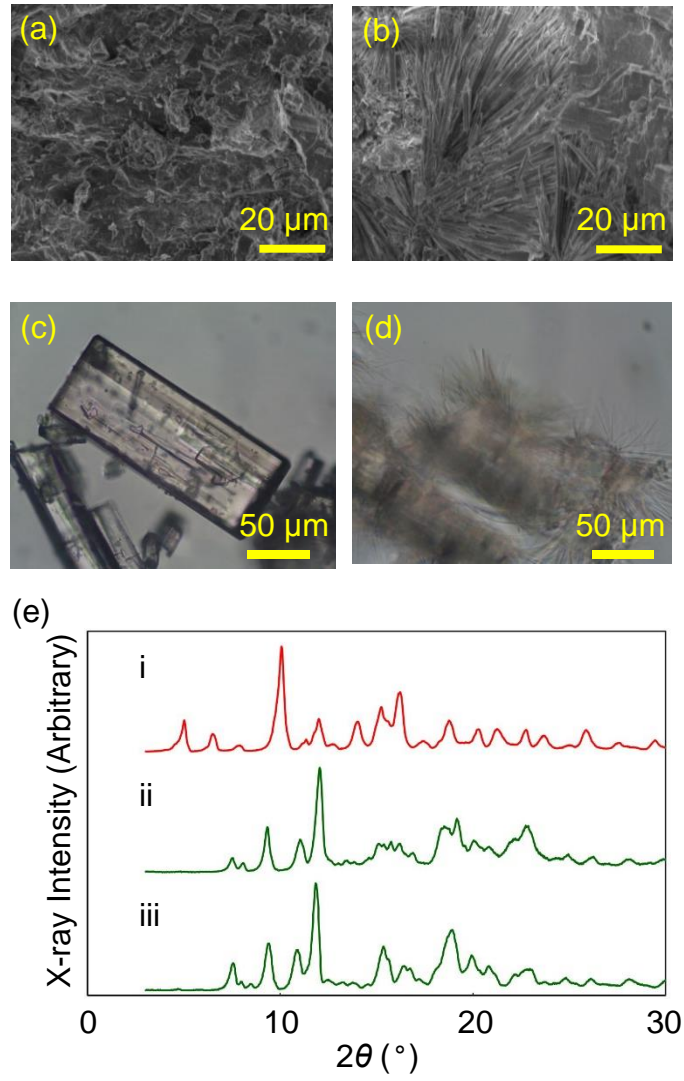


Fig. 2

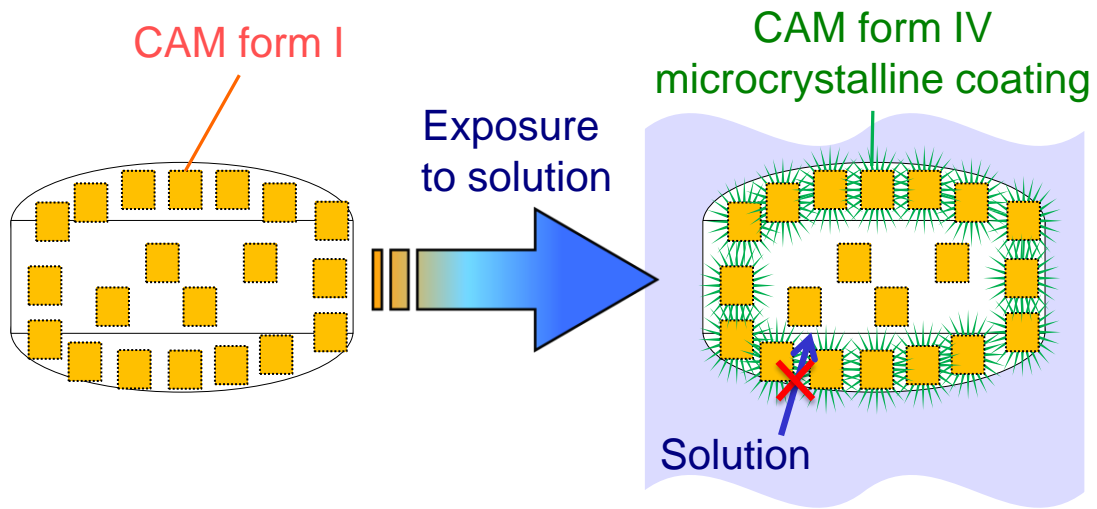


Fig. 3